

# СИБИРСКИЕ ЭЛЕКТРОННЫЕ МАТЕМАТИЧЕСКИЕ ИЗВЕСТИЯ

Siberian Electronic Mathematical Reports

<http://semr.math.nsc.ru>

Том 11, сmp. 921–928 (2014)

УДК 512.542  
MSC 20D06, 20D60

## UNRECOGNIZABILITY BY SPECTRUM OF FINITE SIMPLE ORTHOGONAL GROUPS OF DIMENSION NINE

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**ABSTRACT.** The spectrum of a finite group is the set of its elements orders. A group  $G$  is said to be unrecognizable by spectrum if there are infinitely many pairwise non-isomorphic finite groups having the same spectrum as  $G$ . We prove that the simple orthogonal group  $O_9(q)$  has the same spectrum as  $V \times O_8^-(q)$  where  $V$  is the natural 8-dimensional module of the simple orthogonal group  $O_8^-(q)$ , and in particular  $O_9(q)$  is unrecognizable by spectrum. Note that for  $q = 2$ , the result was proved earlier by Mazurov and Moghaddamfar.

**Keywords:** spectrum, element order, orthogonal group, finite simple group.

### 1. INTRODUCTION

The *spectrum*  $\omega(G)$  of a finite group  $G$  is the set of its element orders. We call groups  $G$  and  $H$  *isospectral* if  $\omega(G) = \omega(H)$ . Let  $h(G)$  be the number of pairwise non-isomorphic finite groups isospectral to  $G$ . A group  $G$  is said to be *unrecognizable* by spectrum if  $h(G)$  is infinite. A finite group with a nontrivial normal soluble subgroup is always unrecognizable [1, 2], so of prime interest is the problem of finiteness of  $h(G)$  for a nonabelian simple group  $G$ .

Our notation of finite nonabelian simple groups and finite classical groups follows [3]. In particular,  $O_{2n+1}(q)$  and  $O_{2n}^\varepsilon(q)$  denote the groups  $\Omega_{2n+1}(q)$  and  $P\Omega_{2n}^\varepsilon(q)$ , which are usually simple, while the full orthogonal groups are denoted by  $GO_{2n+1}(q)$  and  $GO_{2n}^\varepsilon(q)$ . Recall that the order of the center of  $\Omega_{2n}^\varepsilon(q)$  is  $(4, q^n - \varepsilon)/(2, q - \varepsilon)$ , so  $O_{4n}^-(q) = \Omega_{4n}(q)$ .

GRECHKOSEVA, M.A., STAROLETOV, A.M., UNRECOGNIZABILITY BY SPECTRUM OF FINITE SIMPLE ORTHOGONAL GROUPS OF DIMENSION NINE.

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The work is supported by Russian Science Foundation (project 14-21-00065).

Received November, 28, 2014, published December, 5, 2014.

There is a conjecture attributed to Mazurov that every finite group isospectral to a finite simple group  $L$  of Lie type of sufficiently large Lie rank is an almost simple group with socle isomorphic to  $L$ , and in particular  $h(L)$  is finite for a such  $L$ . At present the conjecture is proved with the following restrictions on  $L$ :  $L \neq {}^3D_4(2)$  if  $L$  is exceptional, the Lie rank of  $L$  is least 44 if  $L$  is linear or unitary, and the Lie rank of  $L$  is at least 31 if  $L$  is symplectic or orthogonal; furthermore, it was conjectured that the bounds 44 and 31 can be replaced by 4 and 5 respectively, which are exact bounds in some strict sense (see [4] for details). On the other hand, the only known unrecognizable symplectic or orthogonal group of Lie rank 4 is  $S_8(2) \simeq O_9(2)$ : Mazurov and Moghaddamfar [5] showed that  $S_8(2)$  is isospectral to an extension of a nontrivial 2-group by  $O_8^-(2)$ . In the present paper we generalize this result by the following.

**Theorem 1.** *Let  $V$  be the natural 8-dimensional module of  $O_8^-(q)$ . Then  $\omega(O_9(q)) = \omega(V \rtimes O_8^-(q))$ . In particular,  $O_9(q)$  is unrecognizable by spectrum.*

2. PRELIMINARIES

If  $a$  and  $b$  are positive integers, then  $(a, b)$  and  $[a, b]$  denote respectively the greatest common divisor and least common multiple of  $a$  and  $b$ . If  $p$  is a prime, then  $\omega_p(G)$  is the set of element orders of  $G$  coprime to  $p$ . By writing  $q - \varepsilon$  with  $\varepsilon \in \{+, -\}$  we mean the number  $q - \varepsilon 1$ .

By  $\text{diag}(d_1, \dots, d_k)$  we denote the diagonal matrix with  $d_1, \dots, d_k$  on the diagonal (each  $d_i$  can be a number or a diagonal matrix itself). If  $g$  is a unipotent element of  $GL(V)$ , then we write  $\oplus_i J_i^{k_i}$  for the Jordan form of  $g$  on  $V$ , where  $J_i$  denotes a unipotent Jordan block of length  $i$  and the sum is over values of  $i$  such that  $k_i > 0$ .

**Lemma 1.** *We have the following isomorphisms.*

- (i)  $Sp_2(q) \simeq SL_2(q)$ .
- (ii)  $GO_2^\varepsilon(q) \simeq D_{2(q-\varepsilon)}, SO_2^\varepsilon \simeq (q - \varepsilon).(2, q)$ , and  $\Omega_2^\varepsilon(q) \simeq (q - \varepsilon)/(2, q - 1)$ .
- (iii)  $\Omega_4^+(q) \simeq SL_2(q) \circ SL_2(q)$  and the natural module for  $\Omega_4^+(q)$  is isomorphic to the tensor product of two copies of the natural modules of  $SL_2(q)$ , one for each direct factor in the preimage group  $SL_2(q) \times SL_2(q)$ .
- (iv)  $\Omega_4^-(q) \simeq L_2(q^2)$  and the natural module for  $\Omega_4^-(q)$  is isomorphic to the tensor product of the natural module  $M$  for  $SL_2(q^2)$  and the image of  $M$  under the automorphism  $\sigma : x \mapsto x^q$  of  $GF(q^2)$ .

*Proof.* Parts (i) and (ii) are well known; see for instance [6, Proposition 2.9.1]. Parts (iii) and (iv) are [7, Lemma 1.12.3]. □

**Lemma 2.** *Let  $q$  be a power of a prime  $p$ . Then  $\omega(\Omega_9(q))$  is the set of all divisors of the following numbers:*

- (i)  $(q^4 \pm 1)/(2, q - 1)$ ,  $(q^2 \pm q + 1)(q^2 - 1)/(2, q - 1)$ ,  $p(q^3 \pm 1)/(2, q - 1)$ ,  $p(q^2 + 1)(q \pm 1)/(2, q - 1)$ ,  $p(q^2 - 1)$ ;
- (ii)  $4(q^2 \pm 1)$ ,  $8(q \pm 1)$  if  $p = 2$ ;
- (iii)  $9(q^2 \pm 1)/2$  if  $p = 3$ ;
- (iv)  $25(q \pm 1)/2$  if  $p = 5$ ;
- (v)  $49$  if  $p = 7$ .

*Proof.* This follows from [8, Corollary 3] if  $q$  is even and [8, Corollary 6] if  $q$  is odd. □

**Lemma 3.** *Let  $q$  be a power of a prime  $p$ . Then  $\omega(\Omega_8^-(q))$  is the set of all divisors of the following numbers:*

- (i)  $(q^4 \pm 1)/(2, q - 1), (q^2 \pm q + 1)(q^2 - 1)/(2, q - 1), p(q^2 + 1)(q \pm 1)/(2, q - 1), p(q^2 - 1);$
- (ii)  $4(q^2 - 1), 8$  if  $p = 2;$
- (iii)  $9(q \pm 1)$  if  $p = 3;$
- (iv)  $25$  if  $p = 5.$

*Proof.* In even characteristic, this follows from [8, Corollary 4] (there is an evident misprint in Part (6) of this corollary:  $l$  must be odd if  $\varepsilon = +$  and even if  $\varepsilon = -$ ). In odd characteristic, we use the description of reductive subgroups of  $SO_8^-(q)$  given in [8, Proposition 3.3] and then follow the line of the proof of [8, Corollary 8] (we do not apply [8, Corollary 8] itself since it contains some non-obvious misprints).  $\square$

The following is an immediate corollary of Lemmas 2 and 3.

**Lemma 4.** *Let  $q$  be a power of a prime  $p$ . Then  $\omega(\Omega_9(q)) = \omega(\Omega_8^-(q)) \cup M(q)$ , where  $M(q)$  is the set of all divisors of the following numbers:*

- (i)  $p(q^3 \pm 1)/(2, q - 1);$
- (ii)  $4(q^2 + 1), 8(q \pm 1)$  if  $p = 2;$
- (iii)  $9(q^2 \pm 1)/2$  if  $p = 3;$
- (iv)  $25(q \pm 1)/2$  if  $p = 5;$
- (v)  $49$  if  $p = 7.$

The following result is rather well-known, but we could not find a convenient reference.

**Lemma 5.** *Let  $V$  be a vector space over a finite field of characteristic  $r > 0$ ,  $G \leq GL(V)$  and  $H = V \rtimes G$  be the natural semidirect product of  $V$  by  $G$ . Let  $g \in G, g = us$  be the Jordan decomposition of  $g$  with  $u$  unipotent and  $s$  semisimple, and  $W = C_V(s)$ . Then the coset  $Vg$  of  $H$  contains an element of order  $r|g|$  if and only if the restriction of  $u$  to  $W$  has a Jordan block of size  $|u|$ .*

*Proof.* Let  $|g| = n, |u| = k$  and  $|s| = m$ . Since  $vg_1 \cdot vg_2 = (v + vg_1)g_1g_2$ , it follows that  $(vg)^n = v(1 + \dots + g^{n-1})$  for  $v \in V$ . Since  $\langle g^k \rangle = \langle s \rangle$ , it follows that

$$1 + \dots + g^{n-1} = \frac{g^n - 1}{g^k - 1} \cdot \frac{g^k - 1}{g - 1} = \frac{s^m - 1}{s - 1} \cdot \frac{g^k - 1}{g - 1}.$$

The element  $s$  is semisimple, so  $V = W \times V(s - 1)$ , and we can choose  $w \in W$  and  $v_1 \in V$  such that  $v = w + v_1(s - 1)$ . Then

$$v(1 + \dots + g^{n-1}) = (w + v_1(s - 1)) \frac{s^m - 1}{s - 1} \cdot \frac{g^k - 1}{g - 1} = mw \frac{u^k - 1}{u - 1} = mw(u - 1)^{k-1}.$$

Thus  $Vg$  contains an element of order  $rn$  if and only if there is an element  $w \in W$  such that  $w(u - 1)^{k-1} \neq 0$ , or equivalently the restriction of  $u$  to  $W$  has a Jordan block of size  $k$ .  $\square$

We conclude this section with two results concerning Jordan forms of elements of  $\Omega_{2n}^\varepsilon(q)$  on its natural module. We will need the representation of  $\Omega_{2n}^\varepsilon(q)$  as a subgroup in the centralizer of a suitable Frobenius morphism of a suitable simple linear algebraic group (cf. [9, Chapter 7]). Throughout the paper  $K$  denotes an algebraically closed field of characteristic  $p$ , where  $p$  is the defining characteristic

of the orthogonal group under consideration,  $\bar{V}$  denotes a  $2n$ -dimensional vector space over  $K$ ,  $SO_{2n}(K) = SO_{2n}(\bar{V})$  denotes the connected component of the full orthogonal group  $GO_{2n}(\bar{V})$ , and  $\sigma$  denotes a Frobenius morphism of  $G = SO_{2n}(K)$  such that  $G_\sigma = C_G(\sigma)$  is equal to  $SO_{2n}^\varepsilon(q)$  if  $p$  is odd and  $\Omega_{2n}^\varepsilon(q)$  if  $p = 2$ . Also we write  $K^*$  to denote the multiplicative group of  $K$ .

**Lemma 6.** *Let  $n \geq 2$  and  $\varepsilon \in \{+, -\}$ . The group  $\Omega_{2n}^\varepsilon(q)$  contains a unipotent element with the following Jordan form:*

- (i)  $J_{2n-1} \oplus J_1$  if  $q$  is odd;
- (ii)  $J_{2n-2} \oplus J_2$  if  $q$  is even.

*Proof.* If  $n = 2$ , this follows from Lemma 1. Let us assume that  $n \geq 3$ .

Let  $q$  be odd. It is well known that  $\Omega_{2n}^\varepsilon(q)$  contains a unipotent element with Jordan form  $\oplus_i J_i^{k_i}$  if and only if  $k_i$  is even for each even  $i$  and, in addition,  $k_i \neq 0$  for some odd  $i$  when  $\varepsilon = -$  (see [10, pp. 36–39] or [9, Corollary 3.6(ii) and Theorem 7.1(i)]). This yields (i).

Let  $q$  be even. We use the results of [9, 7.2]. Recall that  $\Omega_{2n}^\varepsilon(q) = G_\sigma$  where  $G = SO_{2n}(K)$  and  $\sigma$  is a suitable Frobenius morphism of  $G$ . Regular unipotent elements of  $G$  has Jordan form  $J_{2n-2} \oplus J_2$  (see [9, p. 61]), and by [9, Theorem 7.3(i)], the group  $G_\sigma$  contains some regular unipotent element. □

To describe Jordan forms of semisimple elements of orthogonal groups, we use the description of their maximal tori from [11]. By [11, Proposition 4.3] for  $q$  odd and [11, Proposition 3.1] together with [11, Section 5] for  $q$  even, it follows that every maximal torus of  $\Omega_{2n}^\varepsilon(q)$  is conjugate in  $SO_{2n}(K)$  to a group of the form

$$\{\text{diag}(t_1^{k_1}, \dots, t_s^{k_s}, t_1^{-k_1}, \dots, t_s^{-k_s}) \mid k_1 + k_2 + \dots + k_s \equiv 0 \pmod{2, q-1}\},$$

where for  $1 \leq i \leq s$

$$t_i = \text{diag}(\lambda_i, \lambda_i^q, \dots, \lambda_i^{q^{n_i-1}}), \lambda_i \in K^*, |\lambda_i| = q^{n_i} - \varepsilon_i \text{ for } n_i > 0 \text{ and } \varepsilon_i \in \{+, -\},$$

with  $n_1 + n_2 + \dots + n_s = n$  and the number of  $i$  with  $\varepsilon_i = -$  being odd. And conversely, for every signed partition of  $n$  with odd number of 'minus' parts, there is a maximal torus of  $\Omega_{2n}^\varepsilon(q)$  conjugate to a subgroup of the described form. Let us, for brevity, write  $(n_1, \dots, n_k, \bar{n}_{k+1}, \dots, \bar{n}_s)$  for a partition  $(n_1, \dots, n_s)$  with  $\varepsilon_i = +$  for  $1 \leq i \leq k$  and  $\varepsilon_i = -$  for  $k+1 \leq i \leq n$  and write  $D(\lambda_1, \dots, \lambda_n)$  to denote  $\text{diag}(\lambda_1, \dots, \lambda_n, \lambda_1^{-1}, \dots, \lambda_n^{-1})$ . Applying the above result and notation to  $\Omega_{2n}^\varepsilon(q)$ , we obtained the following.

**Lemma 7.** *The structure of the maximal tori of  $\Omega_{2n}^\varepsilon(q)$  is given in Table 1.*

### 3. PROOF OF THEOREM 1

Let  $q$  be a power of a prime  $p$ ,  $S = \Omega_8^-(q)$ ,  $V$  be the natural 8-dimensional module of  $S$ , and  $L = \Omega_9(q)$ . Denote the product  $V \rtimes S$  by  $H$ . We begin with two auxiliary lemmas, before proving  $\omega(H) = \omega(L)$ .

**Lemma 8.** *Let  $g \in S$ ,  $(|g|, p) = 1$  and  $d = \dim C_V(g)$ .*

- (i) *If  $d \geq 5$  then  $|g|$  divides one of the numbers  $(q \pm 1)/(2, q - 1)$ .*
- (ii) *If  $d \geq 3$  then  $|g|$  divides one of the numbers  $(q^2 \pm 1)/(2, q - 1)$ .*
- (iii) *If  $d \geq 1$  then  $|g|$  divides one of the numbers  $q^2 - 1, (q^3 \pm 1)/(2, q - 1)$ , and  $(q^2 + 1)(q \pm 1)/(2, q - 1)$ .*

TABLE 1. Structure of maximal tori of  $\Omega_8^-(q)$

Partition	Elements of the torus	Conditions
$(\bar{4})$	$D(\lambda_1^{k_1}, \lambda_1^{qk_1}, \lambda_1^{q^2k_1}, \lambda_1^{q^3k_1})$	$ \lambda_1  = q^4 + 1$ ; if $q$ is odd then $k_1$ is even
$(1, \bar{3})$	$D(\lambda_1^{k_1}, \lambda_2^{k_2}, \lambda_2^{qk_2}, \lambda_2^{q^2k_2})$	$ \lambda_1  = q - 1,  \lambda_2  = q^3 + 1$ ; if $q$ is odd then $k_1 + k_2$ is even
$(2, \bar{2})$	$D(\lambda_1^{k_1}, \lambda_1^{qk_2}, \lambda_2^{k_2}, \lambda_2^{qk_2})$	$ \lambda_1  = q^2 - 1,  \lambda_2  = q^2 + 1$ ; if $q$ is odd then $k_1 + k_2$ is even
$(3, \bar{1})$	$D(\lambda_1^{k_1}, \lambda_1^{qk_1}, \lambda_1^{q^2k_1}, \lambda_2^{k_2})$	$ \lambda_1  = q^3 - 1,  \lambda_2  = q + 1$ ; if $q$ is odd then $k_1 + k_2$ is even
$(1, 1, \bar{2})$	$D(\lambda_1^{k_1}, \lambda_2^{k_2}, \lambda_3^{k_3}, \lambda_3^{qk_3})$	$ \lambda_1  =  \lambda_2  = q - 1,  \lambda_3  = q^2 + 1$ ; if $q$ is odd then $k_1 + k_2 + k_3$ is even
$(2, 1, \bar{1})$	$D(\lambda_1^{k_1}, \lambda_1^{qk_1}, \lambda_2^{k_2}, \lambda_3^{k_3})$	$ \lambda_1  = q^2 - 1, \lambda_2 = q - 1,  \lambda_3  = q + 1$ ; if $q$ is odd then $k_1 + k_2 + k_3$ is even
$(\bar{1}, \bar{1}, \bar{2})$	$D(\lambda_1^{k_1}, \lambda_2^{k_2}, \lambda_3^{k_3}, \lambda_3^{qk_3})$	$ \lambda_1  =  \lambda_2  = q + 1,  \lambda_3  = q^2 + 1$ ; if $q$ is odd then $k_1 + k_2 + k_3$ is even
$(1, \bar{1}, \bar{1}, \bar{1})$	$D(\lambda_1^{k_1}, \lambda_2^{k_2}, \lambda_3^{k_3}, \lambda_4^{k_4})$	$ \lambda_1  =  \lambda_2  =  \lambda_3  = q - 1,  \lambda_4  = q + 1$ ; if $q$ is odd then $k_1 + k_2 + k_3 + k_4$ is even
$(1, 1, 1, \bar{1})$	$D(\lambda_1^{k_1}, \lambda_2^{k_2}, \lambda_3^{k_3}, \lambda_4^{k_4})$	$ \lambda_2  =  \lambda_3  =  \lambda_4  = q + 1,  \lambda_1  = q - 1$ ; if $q$ is odd then $k_1 + k_2 + k_3 + k_4$ is even

*Proof.* We may assume that  $g \neq 1$ . By Lemma 7, the element  $g$  is conjugate to a diagonal matrix  $D = D(\lambda_1, \lambda_2, \lambda_3, \lambda_4)$  with  $\lambda_i$  specified in the last column of Table 1.

Let  $d \geq 5$ . Then  $g$  has at least five eigenvalues equal to 1, whence at least three of  $\lambda_i$  are equal to 1. By Table 1, we may assume that  $D = D(\lambda^k, 1, 1, 1)$ , where  $|\lambda| = q \pm 1$  and  $k$  is even if  $q$  is odd. Hence  $|g|$  divides  $(q \pm 1)/(q - 1, 2)$ .

Let  $d \geq 3$ . By the above, it is sufficient to handle the case  $d = 4$ , and so  $D = D(\lambda_1^{k_1}, \lambda_2^{k_2}, 1, 1)$ , where  $|\lambda_1| = q^{n_1} - \varepsilon_1, |\lambda_2| = q^{n_2} - \varepsilon_2$  for some integers  $n_1 \geq n_2$  and  $\varepsilon_1, \varepsilon_2 \in \{+, -\}$ . By Table 1, we have that  $n_1 = 2$  or  $n_1 = 1$ . In the first case  $\lambda_2 = \lambda_1^q, n_1 = n_2 = 2, \varepsilon_1 = \varepsilon_2$  and  $k_1 = k_2$ . Moreover, if  $q$  is odd then  $k_1$  is even. Thus  $|g|$  is a divisor of  $(q^2 - \varepsilon_1)/(q - 1, 2)$  as required. If  $n_1 = n_2 = 1$ , then  $|g|$  divides  $[q - \varepsilon_1, q - \varepsilon_2]$  and so divides  $(q^2 - 1)/(q - 1, 2)$ .

TABLE 2. Unipotent classes for  $\Omega_8^-(q)$ ,  $q$  even

Decomposition	$R$
$W(1)^4$	$GO_8^-(q)$
$W(3) + W(1)$	$GO_2^+(q) \times GO_2^-(q)$
$W(2) + W(1)^2$	$Sp_2(q) \times GO_4^-(q)$
$W(2) + V(2)^2$	$Sp_2(q)$
$W(1)^2 + V(2)^2$	$Sp_4(q)$
$W(1) + V(4) + V(2)$	$Sp_2(q)$
$V(4)$	1
$V(6) + V(2)$	1

Let now  $d \geq 1$ . We may assume that  $d = 2$  and so  $D = D(\lambda_1^{k_1}, \lambda_2^{k_2}, \lambda_3^{k_3}, 1)$ , where  $|\lambda_i| = q^{n_i} - \varepsilon_i$  for some integers  $n_i, \varepsilon_i \in \{+, -\}$  for  $1 \leq i \leq 3$ . For convenience, we suppose that  $n_3 \geq n_2 \geq n_1$ . Let  $n_1 = 3$ . Then  $k_1 = k_2 = k_3, n_1 = n_2 = n_3, \lambda_2 = \lambda_1^q, \lambda_3 = \lambda_1^{q^2}$  and  $k_1$  is even if  $q$  is odd. So  $|g|$  divides  $(q^3 - \varepsilon_1)/(q - 1, 2)$ . Let  $n_1 = 2$ . Then  $n_2 = n_1, \varepsilon_1 = \varepsilon_2, n_3 = 1, k_1 = k_2$  and  $\lambda_2 = \lambda_1^q$ , therefore,  $|g|$  divides  $(q^2 + 1)(q - \varepsilon_3)/(q - 1, 2)$  if  $\varepsilon_1 = +$  and  $q^2 - 1$  otherwise. If  $n_1 = n_2 = n_3 = 1$  then  $|g|$  divides  $(q^2 - 1)/(q - 1, 2)$ .  $\square$

**Lemma 9.** *Let  $q$  be even and  $u \in S$  be unipotent.*

- (i) *If  $|u| = 8$  then  $u$  has Jordan form  $J_6 \oplus J_2$  on  $V$ .*
- (ii) *If  $|u| = 4$  and  $u$  has a Jordan block of size 4 on  $V$ , then  $\omega_2(C_S(u))$  consists of divisors of  $q \pm 1$ .*
- (iii) *If  $|u| = 2$  and  $C_S(u)$  contains an element  $s$  of order  $m_1 m_2$  where  $m_1 \neq 1$  is a divisor of  $q - 1$  or  $q + 1$  and  $m_2 \neq 1$  is a divisor of  $q^2 + 1$ , then  $C_V(s) = 0$ .*

*Proof.* Let  $G = SO_{2n}(K)$ ,  $\sigma$  be a Frobenius morphism of  $G$ , so that  $G_\sigma = \Omega_{2n}^-(q)$ , and  $u$  be a unipotent element of  $G$ . According to [9, Lemma 6.2], under the action of  $u$ , the vector space  $\bar{V}$  decomposes into an orthogonal sum of indecomposable modules the form  $W(m)$  and  $V(m)$ , which can be defined as follows:  $W(m)$  corresponds to a unipotent element which is a regular element in a Levi subgroup  $SL_m(K)$  of  $G$ ,  $V(m)$  to a regular element in  $Sp_m(K)$  which lies in an orthogonal group  $GO_m(K)$ . The element  $u$  induces  $J_m \oplus J_m$  on  $W(m)$  and  $J_m$  on  $V(m)$  (see [9, Table 4.1]).

The unipotent classes of  $G$  are listed in [9, Table 8.5a] together with the corresponding number of  $G_\sigma$ -classes. We extract from this list those classes  $u^G$  for which  $u^G \cap G_\sigma$  is not empty, and give them in the first column of Table 2. By [9, Theorem 7.3(iii)], the centralizer  $C_{GO_8^-(q)}(u')$ , where  $u' \in u^G \cap G_\sigma$ , is an extension of a 2-group by a group  $R$ , which is a direct product of symplectic and orthogonal groups. The second column of Table 2 gives  $R$  (it turns out that all these groups are isomorphic even when the number of  $G_\sigma$ -classes is larger than 1).

Now Parts (i) and (ii) follow from Table 2 and the fact that a semisimple element of  $Sp_2(q) \simeq SL_2(q)$  has order dividing  $q - 1$  or  $q + 1$ .

Suppose that  $u, s$  and  $m_1, m_2$  are as in (iii). Since  $m_1 m_2 \notin \omega(Sp_4(q))$  (see, for example, [12, Lemma 7]), we see that  $u$  belongs to the class with decomposition  $W(2) + W(1)^2$ . Furthermore, using results of [13, Section 8], we conclude that  $u$  is an involution of type  $a_2$  in notation of [13, p. 16]. By [13, (8.6)], we can choose a basis of  $V$  so that every element  $g$  in  $C_{GO_8^-(q)}(u)$  be of the form

$$\begin{pmatrix} X(g) & & \\ A(g) & Y(g) & \\ B(g) & C(g) & X(g) \end{pmatrix}$$

where  $X(g) \in Sp_2(q), Y(g) \in GO_4^-(q)$ , and  $A(g), B(g), C(g)$  are some matrices of sizes  $4 \times 2, 2 \times 2, 2 \times 4$  respectively. Since  $m_2 \notin \omega(Sp_2(q))$  and  $m_1 m_2 \notin \omega(GO_4^-(q))$ , it follows that  $|X(s)| = m_1$  and  $|Y(s)| = m_2$ . But then the eigenvalues of  $|X(s)|$  are  $\lambda_1, \lambda_1^{-1}$  where  $|\lambda_1| = m_1$  and the eigenvalues of  $|Y(s)|$  are  $\lambda_2, \lambda_2^q, \lambda_2^{-1}, \lambda_2^{-q}$  where  $|\lambda_2| = m_2$  (see Lemma 1). Thus none of the eigenvalues of  $s$  is equal to 1, and so  $C_V(s) = 0$ .  $\square$

Now we are ready to prove Theorem 1. First we show that  $\omega(H) \subseteq \omega(L)$ . Assume that  $h \in H$  and  $|h| \notin \omega(L)$ . By Lemma 5, it follows that  $h = vus$  where  $v \in V, u$  and  $s$  are commuting unipotent and semisimple elements of  $S$  respectively, and the Jordan form of the restriction of  $u$  to  $C_V(s)$  has a Jordan block of size  $|u|$ , in particular  $\dim C_V(s) \geq |u|$ .

Suppose that  $|u| = 1$ . Since  $\dim C_V(s) \geq 1$ , Lemma 8 implies that  $|s|$  divides one of the numbers  $(q^3 \pm 1)/(2, q - 1), (q^2 + 1)(q \pm 1)/(2, q - 1)$ , and  $q^2 - 1$ . Hence  $|h|$  divides one of the numbers  $p(q^3 \pm 1)/(2, q - 1), p(q^2 + 1)(q \pm 1)/(2, q - 1)$ , and  $p(q^2 - 1)$ , and so  $|h| \in \omega(L)$ , contrary to our assumption.

Suppose that  $|u| > 1$  and  $p$  is odd. Then  $p \leq |u| \leq \dim C_V(s) \leq 8$  and so  $|u| = p$  and  $p \leq 7$ . If  $p = 7$ , then Table 1 implies that  $\dim C_V(s) = 8$ , so  $|s| = 1$  and  $|h| = 49$ . If  $p = 5$ , then by Lemma 8, we have that  $|h|$  divides  $25(q \pm 1)/2$ . Similarly, if  $p = 3$  then  $|h|$  divides  $9(q^2 \pm 1)/2$ . In any case  $|h| \in \omega(L)$ , a contradiction.

Let finally  $|u| > 1$  and  $p = 2$ . If  $|u| = 8$ , then  $|s| = 1$  by Lemma 3. Moreover,  $u$  has Jordan form  $J_6 \oplus J_2$  by Lemma 9. Hence  $|h| = 8$ . If  $|u| = 4$ , then the restriction of  $u$  to  $C_V(s)$  has a Jordan block of size 4, and by Lemma 9, it follows that  $|s|$  divides  $q \pm 1$ . Therefore  $|h|$  divides  $8(q \pm 1) \in \omega(L)$ .

Let  $|u| = 2$ . By Lemma 3, the order of  $s$  divides  $q^2 - 1$  or  $(q^2 + 1)(q \pm 1)$ . If  $|s|$  divides  $q^2 - 1$  or  $q^2 + 1$  then  $|h| \in \omega(L)$ . Hence  $|s| = m_1 m_2$ , where  $m_1 \neq 1$  divides  $q \pm 1$  and  $m_2 \neq 1$  divides  $q^2 + 1$ . Now Lemma 9 yields  $C_V(s) = 0$ , a contradiction.

To prove that  $\omega(L) \subseteq \omega(H)$ , it is sufficient to show that  $M(q) \subseteq \omega(H)$ , where  $M(q)$  is defined in Lemma 4.

By Table 1, there exists an element  $s$  in  $S$  with Jordan form  $D(1, \lambda^k, \lambda^{qk}, \lambda^{q^2k})$ , where  $|\lambda| = q^3 + \varepsilon$  and  $k = (q - 1, 2)$ . Note that  $|s| = (q^3 + \varepsilon)/(q - 1, 2)$  and take  $w \in C_V(s) \setminus \{0\}$ . Then  $|ws| = p(q^3 + \varepsilon)/(q - 1, 2)$ . Thus  $p(q^3 \pm 1)/(q - 1, 2) \in \omega(H)$ , and the proof is complete for  $p > 7$ .

Let  $p = 7$ . By Lemma 6, there is a unipotent element with Jordan form  $J_1 \oplus J_7$  in  $S$ . By Lemma 5, it follows that  $49 \in \omega(H)$ .

Let  $p = 5$ . Let  $W$  be a nondegenerate 6-dimensional subspace of  $V$  of sign  $\varepsilon$  and  $N$  be the stabilizer of  $W$  in  $S$ . Then  $N$  includes a subgroup  $A \times B$  where  $A \simeq \Omega_6^\varepsilon(q)$  acts in a natural way on  $W$  and trivially on  $W^\perp$ , while  $B \simeq \Omega_2^{-\varepsilon}(q)$  acts in a natural way on  $W^\perp$  and trivially on  $W$ . By Lemma 6, there is a unipotent

element  $u$  with Jordan form  $J_5 \oplus J_1$  in  $A$ . Taking an element  $s$  of order  $(q + \varepsilon)/2$  in  $B$  and applying Lemma 5, we see that  $25(q + \varepsilon)/2 \in \omega(H)$ .

Let  $p = 2$ . We construct a subgroup of the form  $\Omega_6^\varepsilon(q) \times \Omega_2^{-\varepsilon}(q)$  as above and take a unipotent element with Jordan form  $J_4 \oplus J_2$  in  $\Omega_6^\varepsilon(q)$ . Then we again choose  $s$  as an element of order  $(q + \varepsilon)/2$  in  $\Omega_2^{-\varepsilon}(q)$  and conclude that  $8(q + \varepsilon) \in \omega(H)$ .

Similarly, if  $p = 3$ , then taking a subgroup of the form  $\Omega_4^+(q) \times \Omega_4^-(q)$ , a unipotent element with Jordan form  $J_3 \oplus J_1$  in  $\Omega_4^+(q)$  and elements of orders  $(q^2 \pm 1)/2$  in  $\Omega_4^-(q)$  results in  $9(q^2 \pm 1)/2 \in \omega(H)$ .

Thus  $\omega(L) = \omega(H)$  and the proof is complete.

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