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COMBINATIONS RELATED TO CLASSES OF FINITE AND
COUNTABLY CATEGORICAL STRUCTURES AND THEIR
THEORIES

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ABSTRACT. We consider and characterize classes of finite and countably categorical structures and their theories preserved under E -operators and P -operators. We describe e -spectra and families of finite cardinalities for structures belonging to closures with respect to E -operators and P -operators.

Keywords: finite structure, countably categorical structure, elementary theory, E -operator, P -operator, e -spectrum.

We continue to study structural properties of E -combinations and P -combinations of structures and their theories [14, 15, 16, 17, 18] applying the general context to the classes of finitely categorical and ω -categorical theories.

Approximations of structures by finite ones as well as correspondent approximations of theories were studied in a series of papers, e.g. [3, 19, 20]. We consider these approximations in the context of structural combinations.

We consider and describe e -spectra and families of finite cardinalities for structures belonging to closures with respect to E -operators and P -operators.

The paper is organized as follows. In Section 1 we recall necessary preliminary notions and results. In Section 2 we study closed classes of theories with finite models and of ω -categorical theories. Properties related to approximations of theories with finite and infinite models are described in Section 3. In Section 4 we describe e -spectra for theories with finite models and of ω -categorical theories. Relations

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of (almost) language uniform theories and theories with finite models studies in Section 5. In Section 6 we describe families of finite cardinalities for models of theories in E -closures and P -closures.

1. PRELIMINARIES

Throughout the paper we use the following terminology in [14, 15] as well as in [9, 10].

Let $P = (P_i)_{i \in I}$, be a family of nonempty unary predicates, $(\mathcal{A}_i)_{i \in I}$ be a family of structures such that P_i is the universe of \mathcal{A}_i , $i \in I$, and the symbols P_i are disjoint with languages for the structures \mathcal{A}_j , $j \in I$. The structure $\mathcal{A}_P \equiv \bigcup_{i \in I} \mathcal{A}_i$ expanded by the predicates P_i is the P -union of the structures \mathcal{A}_i , and the operator mapping $(\mathcal{A}_i)_{i \in I}$ to \mathcal{A}_P is the P -operator. The structure \mathcal{A}_P is called the P -combination of the structures \mathcal{A}_i and denoted by $\text{Comb}_P(\mathcal{A}_i)_{i \in I}$ if $\mathcal{A}_i = (\mathcal{A}_P \upharpoonright \mathcal{A}_i) \upharpoonright \Sigma(\mathcal{A}_i)$, $i \in I$. Structures \mathcal{A}' , which are elementary equivalent to $\text{Comb}_P(\mathcal{A}_i)_{i \in I}$, will be also considered as P -combinations.

Clearly, all structures $\mathcal{A}' \equiv \text{Comb}_P(\mathcal{A}_i)_{i \in I}$ are represented as unions of their restrictions $\mathcal{A}'_i = (\mathcal{A}' \upharpoonright P_i) \upharpoonright \Sigma(\mathcal{A}_i)$ if and only if the set $p_\infty(x) = \{\neg P_i(x) \mid i \in I\}$ is inconsistent. If $\mathcal{A}' \not\equiv \text{Comb}_P(\mathcal{A}'_i)_{i \in I}$, we write $\mathcal{A}' = \text{Comb}_P(\mathcal{A}'_i)_{i \in I \cup \{\infty\}}$, where $\mathcal{A}'_\infty = \mathcal{A}' \upharpoonright \bigcap_{i \in I} \overline{P}_i$, maybe applying Morleyzation. Moreover, we write

$$\text{Comb}_P(\mathcal{A}_i)_{i \in I \cup \{\infty\}}$$

for $\text{Comb}_P(\mathcal{A}_i)_{i \in I}$ with the empty structure \mathcal{A}_∞ .

Note that if all predicates P_i are disjoint, a structure \mathcal{A}_P is a P -combination and a disjoint union of structures \mathcal{A}_i . In this case the P -combination \mathcal{A}_P is called *disjoint*. Clearly, for any disjoint P -combination \mathcal{A}_P , $\text{Th}(\mathcal{A}_P) = \text{Th}(\mathcal{A}'_P)$, where \mathcal{A}'_P is obtained from \mathcal{A}_P replacing \mathcal{A}_i by pairwise disjoint $\mathcal{A}'_i \equiv \mathcal{A}_i$, $i \in I$. Thus, in this case, similar to structures the P -operator works for the theories $T_i = \text{Th}(\mathcal{A}_i)$ producing the theory $T_P = \text{Th}(\mathcal{A}_P)$, being P -combination of T_i , which is denoted by $\text{Comb}_P(T_i)_{i \in I}$. In general, for non-disjoint case, the theory T_P will be also called a P -combination of the theories T_i , but in such a case we will keep in mind that this P -combination is constructed with respect (and depending) to the structure \mathcal{A}_P , or, equivalently, with respect to any/some $\mathcal{A}' \equiv \mathcal{A}_P$.

For an equivalence relation E replacing disjoint predicates P_i by E -classes we get the structure \mathcal{A}_E being the E -union of the structures \mathcal{A}_i . In this case the operator mapping $(\mathcal{A}_i)_{i \in I}$ to \mathcal{A}_E is the E -operator. The structure \mathcal{A}_E is also called the E -combination of the structures \mathcal{A}_i and denoted by $\text{Comb}_E(\mathcal{A}_i)_{i \in I}$; here $\mathcal{A}_i = (\mathcal{A}_E \upharpoonright \mathcal{A}_i) \upharpoonright \Sigma(\mathcal{A}_i)$, $i \in I$. Similar above, structures \mathcal{A}' , which are elementary equivalent to \mathcal{A}_E , are denoted by $\text{Comb}_E(\mathcal{A}'_j)_{j \in J}$, where \mathcal{A}'_j are restrictions of \mathcal{A}' to its E -classes. The E -operator works for the theories $T_i = \text{Th}(\mathcal{A}_i)$ producing the theory $T_E = \text{Th}(\mathcal{A}_E)$, being E -combination of T_i , which is denoted by $\text{Comb}_E(T_i)_{i \in I}$ or by $\text{Comb}_E(\mathcal{T})$, where $\mathcal{T} = \{T_i \mid i \in I\}$.

Clearly, $\mathcal{A}' \equiv \mathcal{A}_P$ realizing $p_\infty(x)$ is not elementary embeddable into \mathcal{A}_P and can not be represented as a disjoint P -combination of $\mathcal{A}'_i \equiv \mathcal{A}_i$, $i \in I$. At the same time, there are E -combinations such that all $\mathcal{A}' \equiv \mathcal{A}_E$ can be represented as E -combinations of some $\mathcal{A}'_j \equiv \mathcal{A}_i$. We call this representability of \mathcal{A}' to be the E -representability.

If there is $\mathcal{A}' \equiv \mathcal{A}_E$ which is not E -representable, we have the E' -representability replacing E by E' such that E' is obtained from E adding equivalence classes with models for all theories T , where T is a theory of a restriction \mathcal{B} of a structure $\mathcal{A}' \equiv \mathcal{A}_E$ to some E -class and \mathcal{B} is not elementary equivalent to the structures \mathcal{A}_i . The resulting structure $\mathcal{A}_{E'}$ (with the E' -representability) is a e -completion, or a e -saturation, of \mathcal{A}_E . The structure $\mathcal{A}_{E'}$ itself is called e -complete, or e -saturated, or e -universal, or e -largest.

For a structure \mathcal{A}_E the number of *new* structures with respect to the structures \mathcal{A}_i , i. e., of the structures \mathcal{B} which are pairwise elementary non-equivalent and elementary non-equivalent to the structures \mathcal{A}_i , is called the e -spectrum of \mathcal{A}_E and denoted by $e\text{-Sp}(\mathcal{A}_E)$. The value $\sup\{e\text{-Sp}(\mathcal{A}') \mid \mathcal{A}' \equiv \mathcal{A}_E\}$ is called the e -spectrum of the theory $\text{Th}(\mathcal{A}_E)$ and denoted by $e\text{-Sp}(\text{Th}(\mathcal{A}_E))$.

If \mathcal{A}_E does not have E -classes \mathcal{A}_i , which can be removed, with all E -classes $\mathcal{A}_j \equiv \mathcal{A}_i$, preserving the theory $\text{Th}(\mathcal{A}_E)$, then \mathcal{A}_E is called e -prime, or e -minimal.

For a structure $\mathcal{A}' \equiv \mathcal{A}_E$ we denote by $\text{TH}(\mathcal{A}')$ the set of all theories $\text{Th}(\mathcal{A}_i)$ of E -classes \mathcal{A}_i in \mathcal{A}' .

By the definition, an e -minimal structure \mathcal{A}' consists of E -classes with a minimal set $\text{TH}(\mathcal{A}')$. If $\text{TH}(\mathcal{A}')$ is the least for models of $\text{Th}(\mathcal{A}')$ then \mathcal{A}' is called e -least.

Definition [15]. Let $\overline{\mathcal{T}}$ be the class of all complete elementary theories of relational languages. For a set $\mathcal{T} \subset \overline{\mathcal{T}}$ we denote by $\text{Cl}_E(\mathcal{T})$ the set of all theories $\text{Th}(\mathcal{A})$, where \mathcal{A} is a structure of some E -class in $\mathcal{A}' \equiv \mathcal{A}_E$, $\mathcal{A}_E = \text{Comb}_E(\mathcal{A}_i)_{i \in I}$, $\text{Th}(\mathcal{A}_i) \in \mathcal{T}$. As usual, if $\mathcal{T} = \text{Cl}_E(\mathcal{T})$ then \mathcal{T} is said to be E -closed.

The operator Cl_E of E -closure can be naturally extended to the classes $\mathcal{T} \subset \overline{\mathcal{T}}$ as follows: $\text{Cl}_E(\mathcal{T})$ is the union of all $\text{Cl}_E(\mathcal{T}_0)$ for subsets $\mathcal{T}_0 \subseteq \mathcal{T}$.

For a set $\mathcal{T} \subset \overline{\mathcal{T}}$ of theories in a language Σ and for a sentence φ with $\Sigma(\varphi) \subseteq \Sigma$ we denote by \mathcal{T}_φ the set $\{T \in \mathcal{T} \mid \varphi \in T\}$.

Proposition 1.1 [15]. *If $\mathcal{T} \subset \overline{\mathcal{T}}$ is an infinite set and $T \in \overline{\mathcal{T}} \setminus \mathcal{T}$ then $T \in \text{Cl}_E(\mathcal{T})$ (i.e., T is an accumulation point for \mathcal{T} with respect to E -closure Cl_E) if and only if for any formula $\varphi \in T$ the set \mathcal{T}_φ is infinite.*

Theorem 1.2 [15]. *If \mathcal{T}'_0 is a generating set for a E -closed set \mathcal{T}_0 then the following conditions are equivalent:*

- (1) \mathcal{T}'_0 is the least generating set for \mathcal{T}_0 ;
- (2) \mathcal{T}'_0 is a minimal generating set for \mathcal{T}_0 ;
- (3) any theory in \mathcal{T}'_0 is isolated by some set $(\mathcal{T}'_0)_\varphi$, i.e., for any $T \in \mathcal{T}'_0$ there is $\varphi \in T$ such that $(\mathcal{T}'_0)_\varphi = \{T\}$;
- (4) any theory in \mathcal{T}'_0 is isolated by some set $(\mathcal{T}_0)_\varphi$, i.e., for any $T \in \mathcal{T}'_0$ there is $\varphi \in T$ such that $(\mathcal{T}_0)_\varphi = \{T\}$.

Definition [15]. For a set $\mathcal{T} \subset \overline{\mathcal{T}}$ we denote by $\text{Cl}_P(\mathcal{T})$ the set of all theories $\text{Th}(\mathcal{A})$ such that $\text{Th}(\mathcal{A}) \in \mathcal{T}$ or \mathcal{A} is a structure of type $p_\infty(x)$ in $\mathcal{A}' \equiv \mathcal{A}_P$, where $\mathcal{A}_P = \text{Comb}_P(\mathcal{A}_i)_{i \in I}$ and $\text{Th}(\mathcal{A}_i) \in \mathcal{T}$ are pairwise distinct. As above, if $\mathcal{T} = \text{Cl}_P(\mathcal{T})$ then \mathcal{T} is said to be P -closed.

Using above only disjoint P -combinations \mathcal{A}_P we get the closure $\text{Cl}_P^d(\mathcal{T})$ being a subset of $\text{Cl}_P(\mathcal{T})$.

The closure operator $\text{Cl}_P^{d,r}$ is obtained from Cl_P^d permitting repetitions of structures for predicates P_i .

Replacing E -classes by unary predicates P_i (not necessary disjoint) being universes for structures \mathcal{A}_i and restricting models of $\text{Th}(\mathcal{A}_P)$ to the set of realizations of $p_\infty(x)$ we get the e -spectrum $e\text{-Sp}(\text{Th}(\mathcal{A}_P))$, i. e., the number of pairwise elementary non-equivalent restrictions of $\mathcal{M} \models \text{Th}(\mathcal{A}_P)$ to $p_\infty(x)$ such that these restrictions are not elementary equivalent to the structures \mathcal{A}_i .

Definition [9, 10]. A n -dimensional cube, or a n -cube (where $n \in \omega$) is a graph isomorphic to the graph \mathcal{Q}_n with the universe $\{0, 1\}^n$ and such that any two vertices $(\delta_1, \dots, \delta_n)$ and $(\delta'_1, \dots, \delta'_n)$ are adjacent if and only if these vertices differ exactly in one coordinate. The described graph \mathcal{Q}_n is called the *canonical representative* for the class of n -cubes.

Let λ be an infinite cardinal. A λ -dimensional cube, or a λ -cube, is a graph isomorphic to a graph $\Gamma = \langle X; R \rangle$ satisfying the following conditions:

(1) the universe $X \subseteq \{0, 1\}^\lambda$ is generated from an arbitrary function $f \in X$ by the operator $\langle f \rangle$ attaching, to the set $\{f\}$, all results of substitutions for any finite tuples $(f(i_1), \dots, f(i_m))$ by tuples $(1 - f(i_1), \dots, 1 - f(i_m))$;

(2) the relation R consists of edges connecting functions differing exactly in one coordinate (the i -th coordinate of function $g \in \{0, 1\}^\lambda$ is the value $g(i)$ correspondent to the argument $i < \lambda$).

The described graph $\mathcal{Q} = \mathcal{Q}_f$ with the universe $\langle f \rangle$ is a *canonical representative* for the class of λ -cubes.

Note that the canonical representative of the class of n -cubes (as well as the canonical representatives of the class of λ -cubes) are generated by any its function: $\{0, 1\}^n = \langle f \rangle$, where $f \in \{0, 1\}^n$. Therefore the universes of canonical representatives \mathcal{Q}_f of n -cubes like λ -cubes, is denoted by $\langle f \rangle$.

2. CLOSED CLASSES OF FINITELY CATEGORICAL AND ω -CATEGORICAL THEORIES

Remind that a countable complete theory T is ω -categorical if T has exactly one countable model up to isomorphisms, i.e. $I(T, \omega) = 1$. A countable theory T is n -categorical, for natural $n \geq 1$, if T has exactly one n -element model up to isomorphisms, i.e. $I(T, n) = 1$. A countable theory T is *finitely categorical* if T is n -categorical for some $n \in \omega \setminus \{0\}$.

The classes of all finitely and ω -categorical theories will be denoted by $\overline{\mathcal{T}}_{\text{fin}}$ and $\overline{\mathcal{T}}_{\omega, 1}$, respectively.

Let \mathcal{T} be a set (class) of theories in $\overline{\mathcal{T}}_{\text{fin}} \cup \overline{\mathcal{T}}_{\omega, 1}$, T be a theory in \mathcal{T} . By Ryll-Nardzewski theorem, $S^n(T)$ is finite for any n . Then, for any n , classes $[\varphi(\bar{x})] = \{\varphi'(\bar{x}) \mid \varphi'(\bar{x}) \equiv \varphi(\bar{x})\}$ of T -formulas with n free variables and $[\varphi(\bar{x})] \leq [\psi(\bar{x})] \Leftrightarrow \varphi(\bar{x}) \vdash \psi(\bar{x})$ form a finite Boolean algebra $\mathcal{B}_n(T)$ with 2^{m_n} elements, where m_n is the number of n -types of T .

The algebra $\mathcal{B}_n(T)$ can be interpreted as a m_n -cube $\mathcal{C}_{m_n}(T)$, whose vertices form the universe $B_n(T)$ of $\mathcal{B}_n(T)$, edges $[a, b]$ link vertices a and b such that $a \leq b$ or $b \leq a$, and each vertex a is marked by some $u_a \equiv [\varphi(\bar{x})]$, where $a \leq b \Leftrightarrow u_a \leq u_b$. The label 0 is used for the vertex corresponding to $[\neg \bar{x} \approx \bar{x}]$ and 1 — for the vertex corresponding to $[\bar{x} \approx \bar{x}]$.

Obviously, the sets $[\varphi(\bar{x})]$ and the relation \leq depend on the theory T but we omit T if the theory is fixed or it is clear by the context.

Clearly, algebras $\mathcal{B}_n(T_1)$ and $\mathcal{B}_n(T_2)$, for $T_1, T_2 \in \mathcal{T}$, may be not coordinated: it is possible $\lceil \varphi(\bar{x}) \rceil < \lceil \psi(\bar{x}) \rceil$ for T_1 whereas $\lceil \psi(\bar{x}) \rceil < \lceil \varphi(\bar{x}) \rceil$ for T_2 . If $\lceil \varphi(\bar{x}) \rceil < \lceil \psi(\bar{x}) \rceil$ for T_1 and $\lceil \varphi(\bar{x}) \rceil < \lceil \psi(\bar{x}) \rceil$ for T_2 , we say that T_2 *witnesses* that $\lceil \varphi(\bar{x}) \rceil < \lceil \psi(\bar{x}) \rceil$ for T_1 (and vice versa).

At the same time, if a countable theory T_0 does not belong to $\overline{\mathcal{T}}_{\text{fin}} \cup \overline{\mathcal{T}}_{\omega,1}$ then for some $n \geq 1$, $\mathcal{B}_n(T_0)$ is infinite and therefore there is a formula $\varphi(\bar{x})$, for instance $(\bar{x} \approx \bar{x})$, such that for the label $u = \lceil \varphi(\bar{x}) \rceil$ there is an infinite decreasing chain $(u_k)_{k \in \omega}$ of labels: $u_{k+1} < u_k < u$, witnessed by some formulas $\varphi_k(\bar{x})$. In such a case, if $T_0 \in \text{Cl}_E(\mathcal{T})$, then by Proposition 1.1 for any finite sequence (u_1, \dots, u_0, u) there are infinitely many theories in \mathcal{T} witnessing that $u_1 < \dots < u_0 < u$. In particular, cardinalities m_n for Boolean algebras $\mathcal{B}_n(T)$ and for cubes $\mathcal{C}_{m_n}(T)$ are unbounded for \mathcal{T} : distances $\rho_{n,T}(0, u)$ are unbounded for the cubes $\mathcal{C}_{m_n}(T)$, i.e., $\sup\{\rho_{n,T}(0, u) \mid T \in \mathcal{T}\} = \infty$. It is equivalent to take $(\bar{x} \approx \bar{x})$ for $\varphi(\bar{x})$ and to get $\sup\{\rho_{n,T}(0, 1) \mid T \in \mathcal{T}\} = \infty$.

Thus we get the following

Theorem 2.1. *Let \mathcal{T} be a class of theories in $\overline{\mathcal{T}}_{\text{fin}} \cup \overline{\mathcal{T}}_{\omega,1}$. The following conditions are equivalent:*

- (1) $\text{Cl}_E(\mathcal{T}) \not\subseteq \overline{\mathcal{T}}_{\text{fin}} \cup \overline{\mathcal{T}}_{\omega,1}$;
- (2) for some natural $n \geq 1$, Boolean algebras $\mathcal{B}_n(T)$, $T \in \mathcal{T}$, have unbounded cardinalities and, moreover, there is an infinite decreasing chain $(u_k)_{k \in \omega}$ of labels for some formulas $\varphi_k(\bar{x})$ such that any finite sequence (u_1, \dots, u_0) with $u_1 < \dots < u_0$ is witnessed by infinitely many theories in \mathcal{T} ;
- (3) the same as in (2) with $u_0 = 1$.

Corollary 2.2. *A class $\mathcal{T} \subseteq \overline{\mathcal{T}}_{\text{fin}} \cup \overline{\mathcal{T}}_{\omega,1}$ does not generate, using the E -operator, theories, which are neither finitely categorical and ω -categorical, if and only if for the Boolean algebras $\mathcal{B}_n(T)$, $T \in \mathcal{T}$, there are no infinite decreasing chains $(u_k)_{k \in \omega}$ of labels for some formulas $\varphi_k(\bar{x})$ such that any finite sequence (u_1, \dots, u_0) with $u_1 < \dots < u_0$ is witnessed by infinitely many theories in \mathcal{T} .*

Remark 2.3. Corollary 2.2 together with Proposition 1.1 allow to determine E -closed classes of finitely categorical and ω -categorical theories. Here, since finite sets of theories are E -closed, it suffices to consider infinite sets.

Considering a set \mathcal{T} of theories with disjoint languages, for the E -closeness it suffices to add theories of the empty language describing cardinalities, in $\omega + 1$, of universes if these cardinalities meet infinitely many times in \mathcal{T} .

In such a case we obtain relative closures [17] and have the following assertions.

Proposition 2.4. *A class \mathcal{T} of theories of pairwise disjoint languages is E -closed if and only if the following conditions hold:*

- (i) for any $n \in \omega \setminus \{0\}$ whenever \mathcal{T} contains infinitely many theories with n -element models then \mathcal{T} contains the theory T_n^0 of the empty language and with n -element models;
- (ii) if \mathcal{T} contains theories with unbounded finite cardinalities of models, or infinitely many theories with infinite models, then \mathcal{T} contains the theory T_∞^0 of the empty language and with infinite models.

Corollary 2.5. *A class $\mathcal{T} \subseteq \overline{\mathcal{T}}_{\text{fin}} \cup \overline{\mathcal{T}}_{\omega,1}$ of theories of pairwise disjoint languages is E -closed if and only if the conditions (i) and (ii) hold.*

Corollary 2.6. *A class $\mathcal{T} \subset \overline{\mathcal{T}}_{\text{fin}}$ of theories of pairwise disjoint languages is E -closed if and only if the condition (i) holds and there is $N \in \omega$ such \mathcal{T} does not have n -categorical theories for $n > N$.*

Corollary 2.7. *A class $\mathcal{T} \subset \overline{\mathcal{T}}_{\omega,1}$ of theories of pairwise disjoint languages is E -closed if and only if the condition (ii) holds.*

Corollary 2.8. *For any class $\mathcal{T} \subset \overline{\mathcal{T}}_{\text{fin}} \cup \overline{\mathcal{T}}_{\omega,1}$ of theories of pairwise disjoint languages, $\text{Cl}_E(\mathcal{T})$ is contained in the class $\subset \overline{\mathcal{T}}_{\text{fin}} \cup \overline{\mathcal{T}}_{\omega,1}$, moreover,*

$$\text{Cl}_E(\mathcal{T}) \subseteq \mathcal{T} \cup \{T_\lambda^0 \mid \lambda \in (\omega \setminus \{0\}) \cup \{\infty\}\}.$$

Remark 2.9. Using relative closures [17] the assertions 2.4–2.8 also hold if languages are disjoint modulo a common sublanguage Σ_0 such that all restrictions of n -categorical theories in $\mathcal{T} \cap \overline{\mathcal{T}}_{\text{fin}}$ to Σ_0 have isomorphic (finite) models \mathcal{M}_n and all restrictions of theories in $\mathcal{T} \cap \overline{\mathcal{T}}_{\omega,1}$ have isomorphic countable models \mathcal{M}_ω . In such a case, the theories T_n^0 should be replaced by $\text{Th}(\mathcal{M}_n)$ and T_∞^0 – by $\text{Th}(\mathcal{M}_\omega)$.

It is also permitted to have finitely many possibilities for each \mathcal{M}_n and for \mathcal{M}_ω .

The following example shows that (even with pairwise disjoint languages) ω -categorical theories T with unbounded $\rho_{n,T}(0,1)$ do not force theories outside the class of ω -categorical theories.

Example 2.10. Let T_n be a theory of infinitely many disjoint n -cubes with a graph relation $R_n^{(2)}$, $R_m \neq R_n$ for $m \neq n$. For the set $\mathcal{T} = \{T_n \mid n \in \omega\}$ we have $\text{Cl}_E(\mathcal{T}) = \mathcal{T} \cup \{T_\infty^0\}$. All theories in $\text{Cl}_E(\mathcal{T})$ are ω -categorical whereas $\rho_{2,T_n}(0,1) = n+2$ that witnessed by formulas describing distances $d(x,y) \in \omega \cup \{\infty\}$ between elements.

Similarly, taking for each $n \in \omega$ exactly one n -cube with a graph relation $R_n^{(2)}$, we get a set \mathcal{T} of theories such that $\text{Cl}_E(\mathcal{T}) \subset \overline{\mathcal{T}}_{\text{fin}} \cup \overline{\mathcal{T}}_{\omega,1}$.

Remark 2.11. Assertions 2.1 – 2.5 and 2.7 – 2.9 hold for the operators Cl_P^d and $\text{Cl}_P^{d,r}$ replacing E -closures by P -closures. As non-isolated types always produce infinite structures, Corollary 2.6 holds only for Cl_P^d with finite sets \mathcal{T} of theories.

3. ON APPROXIMATIONS OF THEORIES WITH (IN)FINITE MODELS

Definition [3]. An infinite structure \mathcal{M} is *pseudofinite* if every sentence true in \mathcal{M} has a finite model.

Definition (cf. [11]). A consistent formula φ *forces* the infinity if φ does not have finite models.

By the definition, an infinite structure \mathcal{M} is pseudofinite if and only if \mathcal{M} does not satisfy formulas forcing the infinity.

We denote the class $\overline{\mathcal{T}} \setminus \overline{\mathcal{T}}_{\text{fin}}$ by $\overline{\mathcal{T}}_{\text{inf}}$.

Proposition 3.1. *A theory $T \in \overline{\mathcal{T}}_{\text{inf}}$ belongs to some E -closure of theories in $\overline{\mathcal{T}}_{\text{fin}}$ if and only if T does not have formulas forcing the infinity.*

Proof. If a formula φ forces the infinity then $\mathcal{T}_\varphi \subset \overline{\mathcal{T}}_{\text{inf}}$ for any $\mathcal{T} \subseteq \overline{\mathcal{T}}$. Thus, having such a formula $\varphi \in T$, T can not be approximated by theories in $\overline{\mathcal{T}}_{\text{fin}}$ and so T does not belong to E -closures of families $\mathcal{T} \subseteq \overline{\mathcal{T}}_{\text{fin}}$.

Conversely, if any formula $\varphi \in T$ does not force the infinity then, since $T \notin \overline{\mathcal{T}}_{\text{fin}}$, $(\overline{\mathcal{T}}_{\text{fin}})_\varphi$ is infinite using unbounded finite cardinalities and we can choose infinitely

many theories in $(\overline{\mathcal{T}}_{\text{fin}})_{\varphi}$, for each $\varphi \in T$, forming a set $\mathcal{T}_0 \subset \overline{\mathcal{T}}_{\text{fin}}$ such that $T \in \text{Cl}_E(\mathcal{T}_0)$. \square

Note that, in view of Proposition 1.1, Proposition 3.1 is a reformulation of Lemma 1 in [19].

Corollary 3.2. *If a theory $T \in \overline{\mathcal{T}}_{\text{inf}}$ belongs to some E -closure of theories in $\overline{\mathcal{T}}_{\text{fin}}$ then T is not finitely axiomatizable.*

Proof. If T is finitely axiomatizable by some formula φ then $|\mathcal{T}_{\varphi}| \leq 1$ for any $\mathcal{T} \subseteq \overline{\mathcal{T}}$ and φ forces the infinity. Thus, in view of Proposition 3.1, T can not be approximated by theories in $\overline{\mathcal{T}}_{\text{fin}}$, i. e., T does not belong to E -closures of families $\mathcal{T}_0 \subset \overline{\mathcal{T}}_{\text{fin}}$. \square

In fact, in view of Theorem 1.2, the arguments for Corollary 3.3 show that $\text{Cl}_E(\mathcal{T})$, for a family \mathcal{T} of finitely axiomatizable theories, has the least generating set \mathcal{T} and does not contain new finitely axiomatizable theories.

Note that Proposition 3.1 admits a reformulation for Cl_P^d repeating the proof. At the same time theories in $\overline{\mathcal{T}}_{\text{fin}}$ can not be approximated by theories in $\overline{\mathcal{T}}_{\text{inf}}$ with respect to Cl_E (in view of Proposition 1.1) whereas each theory in $\overline{\mathcal{T}}_{\text{fin}}$ can be approximated by theories in $\overline{\mathcal{T}}_{\text{inf}}$ with respect to Cl_P^d :

Proposition 3.3. *For any theory $T \in \overline{\mathcal{T}}_{\text{fin}}$ there is a family $\mathcal{T}_0 \subset \overline{\mathcal{T}}_{\text{inf}}$ such that T belongs to the $\Sigma(T)$ -restriction of $\text{Cl}_P^d(\mathcal{T}_0)$.*

Proof. It suffices to form \mathcal{T}_0 by infinitely many theories of structures \mathcal{A}_i , $i \in I$, with infinitely many copies of models $\mathcal{M} \models T$ forming E_i -classes for equivalence relations E_i , where E_j is either equality or complete for $j \neq i$. Considering disjoint unary predicates P_i for \mathcal{A}_i we get the nonprincipal 1-type $p_{\infty}(x)$ isolated by the set $\{\neg P_i(x) \mid i \in I\}$ which can be realized by the set M with the structure \mathcal{M} witnessing that T belongs to the restriction of $\text{Cl}_P^d(\mathcal{T}_0)$ removing new relations E_i . \square

Remark 3.4. We have a similar effect removing all relations E_j in the structures \mathcal{A}_i and obtaining isomorphic structures \mathcal{A}'_i : by compactness the P -combination of structures \mathcal{A}'_i (where disjoint \mathcal{A}'_i form unary predicates P_i) has the theory with a model, whose p_{∞} -restriction forms a structure isomorphic to \mathcal{M} . In this case we have $\text{Cl}_P^{d,r}(\{\text{Th}(\mathcal{A}'_i)\})$.

Remark 3.5. As in the proof of Proposition 3.1 theories in \mathcal{T}_0 can be chosen consistent modulo cardinalities of their models we can add that $e\text{-Sp}(T) = 1$ for the E -combination T of the theories in \mathcal{T}_0 .

As the same time $e\text{-Sp}(T')$ is infinite for the P -combination T' of \mathcal{A}_i in the proof of Proposition 3.3, since $p_{\infty}(x)$ has infinitely many possibilities for finite cardinalities of sets of realizations for $p_{\infty}(x)$.

4. e -SPECTRA FOR FINITELY CATEGORICAL AND ω -CATEGORICAL THEORIES

We refine the notions of e -spectra $e\text{-Sp}(\mathcal{A}_E)$ and $e\text{-Sp}(T)$ for the theories $T = \text{Th}(\mathcal{A}_E)$ restricting the class of possible theories to a given class \mathcal{T} in the following way.

For a structure \mathcal{A}_E the number of *new* structures with respect to the structures \mathcal{A}_i , i. e., of the structures \mathcal{B} with $\text{Th}(\mathcal{B}) \in \mathcal{T}$, which are pairwise elementary non-equivalent and elementary non-equivalent to the structures \mathcal{A}_i , is called the (e, \mathcal{T}) -*spectrum* of \mathcal{A}_E and denoted by $(e, \mathcal{T})\text{-Sp}(\mathcal{A}_E)$. The value $\sup\{(e, \mathcal{T})\text{-Sp}(\mathcal{A}') \mid \mathcal{A}' \equiv \mathcal{A}_E\}$ is called the (e, \mathcal{T}) -*spectrum* of the theory $\text{Th}(\mathcal{A}_E)$ and denoted by $(e, \mathcal{T})\text{-Sp}(\text{Th}(\mathcal{A}_E))$.

The following properties are obvious.

1. (Monotony) If $\mathcal{T}_1 \subseteq \mathcal{T}_2$ then $(e, \mathcal{T}_1)\text{-Sp}(\text{Th}(\mathcal{A}_E)) \leq (e, \mathcal{T}_2)\text{-Sp}(\text{Th}(\mathcal{A}_E))$ for any structure \mathcal{A}_E .

2. (Additivity) If the class $\overline{\mathcal{T}}$ of all complete elementary theories of relational languages is the disjoint union of subclasses $\overline{\mathcal{T}}_1$ and $\overline{\mathcal{T}}_2$ then for any theory $T = \text{Th}(\mathcal{A}_E)$,

$$e\text{-Sp}(T) = (e, \overline{\mathcal{T}}_1)\text{-Sp}(T) + (e, \overline{\mathcal{T}}_2)\text{-Sp}(T).$$

We divide a class \mathcal{T} of theories into two disjoint subclasses \mathcal{T}^{fin} and \mathcal{T}^{inf} having finite and infinite non-empty language relations, respectively. More precisely, for functions $f: \omega \rightarrow \lambda_f$, where λ_f are cardinalities, we divide \mathcal{T} into subclasses \mathcal{T}^f of theories T such that T has $f(n)$ n -ary predicate symbols for each $n \in \omega$.

For the function f we denote by $\text{Supp}(f)$ its *support*, i.e., the set $\{n \in \omega \mid f(n) > 0\}$.

Clearly, the language of a theory $T \in \mathcal{T}^f$ is finite if and only if $\rho_f \subset \omega$ and $\text{Supp}(f)$ is finite.

Illustrating (e, \mathcal{T}) -spectra for the class \mathcal{T} of all cubic theories and taking the class $\mathcal{T}_0^{\text{fin}} \subset \mathcal{T}$ of all theories of finite cubes we note that for an E -combination T of theories T_i in $\mathcal{T}_0^{\text{fin}}$, $(e, \mathcal{T})\text{-Sp}(T)$ is positive if and only if there are infinitely many T_i . In such a case, $(e, \mathcal{T})\text{-Sp}(T) = 1$ and new theory, which does not belong to $\mathcal{T}_0^{\text{fin}}$, is the theory of ω -cube.

The class $\overline{\mathcal{T}}_{\text{fin}}$ is represented as disjoint union of subclasses $\overline{\mathcal{T}}_{\text{fin},n}$ of theories having n -element models, $n \in \omega \setminus \{0\}$. For $N \in \omega$, the class $\bigcup_{n \leq N} \overline{\mathcal{T}}_{\text{fin},n}$ is denoted by $\overline{\mathcal{T}}_{\text{fin}, \leq N}$.

Proposition 4.1. *For any $\mathcal{T} \subset \overline{\mathcal{T}}$, $\text{Cl}_E(\mathcal{T}) \setminus \overline{\mathcal{T}}_{\text{fin}} \neq \emptyset$ if and only if for any natural N , $\mathcal{T} \not\subset \overline{\mathcal{T}}_{\text{fin}, \leq N}$.*

Proof. If \mathcal{T} contains a theory with infinite models, the assertion is obvious. If $\mathcal{T} \subset \overline{\mathcal{T}}_{\text{fin}}$, then we apply Compactness and Proposition 1.1. \square

The following obvious proposition is also based on Proposition 1.1.

Proposition 4.2. *If $\mathcal{T} \subset \overline{\mathcal{T}}_{\text{fin},n}$ (respectively $\mathcal{T} \subset \overline{\mathcal{T}}_{\text{fin}, \leq N}$) then $\text{Cl}_E(\mathcal{T}) \subset \overline{\mathcal{T}}_{\text{fin},n}$ ($\text{Cl}_E(\mathcal{T}) \subset \overline{\mathcal{T}}_{\text{fin}, \leq N}$). For any theory $T = \text{Th}(\mathcal{A}_E)$, where all E -classes have theories in \mathcal{T} , $e\text{-Sp}(T) = (e, \overline{\mathcal{T}}_{\text{fin},n})\text{-Sp}(\text{Th}(\mathcal{A}_E))$ ($e\text{-Sp}(T) = (e, \overline{\mathcal{T}}_{\text{fin}, \leq N})\text{-Sp}(\text{Th}(\mathcal{A}_E))$). If, additionally, \mathcal{T} is the set of theories in a finite language then \mathcal{T} is finite (and so E -closed). In particular, for any theory $T = \text{Th}(\mathcal{A}_E)$ in a finite language, where all E -classes have theories in \mathcal{T} , $e\text{-Sp}(T) = 0$.*

Remark 4.3. In fact, the conclusions of Proposition 4.2 follow implying the following fact. If all theories in \mathcal{T} contain a formula φ then all theories in $\text{Cl}_E(\mathcal{T})$ contain φ . For (1) we take a formula φ “saying” that models have exactly n elements, and for

(2) — a formula φ “saying” that models have at most N elements. If the language is finite there are only finitely many possibilities for isomorphism types on n -element sets and these possibilities are formula-definable.

Similarly Proposition 4.2 we have

Proposition 4.4. *If $\mathcal{T} \cap \overline{\mathcal{T}}_{\text{fin}} = \emptyset$ then $\text{Cl}_E(\mathcal{T}) \cap \overline{\mathcal{T}}_{\text{fin}} = \emptyset$.*

Definition [16]. A theory T in a predicate language Σ is called *language uniform*, or a *LU-theory* if for each arity n any substitution on the set of non-empty n -ary predicates (corresponding to the symbols in Σ) preserves T . The LU-theory T is called *IILU-theory* if it has non-empty predicates and as soon as there is a non-empty n -ary predicate then there are infinitely many non-empty n -ary predicates and there are infinitely many empty n -ary predicates.

Since for any finite cardinality n there are IILU-theories with n -element models, repeating the proof of [16, Proposition 12] and [16, Proposition 13] we get

Proposition 4.5. (1) *For any $n \in \omega \setminus \{0\}$ and $\mu \leq \omega$ there is an E -combination $T = \text{Th}(\mathcal{A}_E)$ of IILU-theories $T_i \in \overline{\mathcal{T}}_{\text{fin},n}$ in a language Σ of the cardinality ω such that T has an e -least model and $e\text{-Sp}(T) = \mu$.*

(2) *For any uncountable cardinality λ there is an E -combination $T = \text{Th}(\mathcal{A}_E)$ of IILU-theories $T_i \in \overline{\mathcal{T}}_{\text{fin},n}$ in a language Σ of the cardinality λ such that T has an e -least model and $e\text{-Sp}(T) = \lambda$.*

Proposition 4.6. *For any $n \in \omega \setminus \{0\}$ and infinite cardinality λ there is an E -combination $T = \text{Th}(\mathcal{A}_E)$ of IILU-theories $T_i \in \overline{\mathcal{T}}_{\text{fin},n}$ in a language Σ of cardinality λ such that T does not have e -least models and $e\text{-Sp}(T) \geq \max\{2^\omega, \lambda\}$.*

Proposition 4.7. *For any $n \in \omega \setminus \{0\}$ and infinite cardinality λ there is an E -combination $T = \text{Th}(\mathcal{A}_E)$ of LU-theories $T_i \in \overline{\mathcal{T}}_{\text{fin},n}$ in a language Σ of cardinality λ such that T does not have e -least models and $e\text{-Sp}(T) = 2^\lambda$.*

Proof. Let Σ be a language consisting, for some natural m , of m -ary predicate symbols R_i , $i < \lambda$. For any $\Sigma' \subseteq \Sigma$ we take a structure $\mathcal{A}_{\Sigma'}$ of the cardinality n such that $R_i = (A_{\Sigma'})^m$ for $R_i \in \Sigma'$, and $R_i = \emptyset$ for $R_i \in \Sigma \setminus \Sigma'$. Clearly, each structure $\mathcal{A}_{\Sigma'}$ has a LU-theory and $\mathcal{A}_{\Sigma'} \not\cong \mathcal{A}_{\Sigma''}$ for $\Sigma' \neq \Sigma''$. For the E -combination \mathcal{A}_E of the structures $\mathcal{A}_{\Sigma'}$ we obtain the theory $T = \text{Th}(\mathcal{A}_E)$ having a model of the cardinality λ . At the same time \mathcal{A}_E has 2^λ distinct theories of the E -classes $\mathcal{A}_{\Sigma'}$. Thus, $e\text{-Sp}(T) = 2^\lambda$. Finally we note that T does not have e -least models by Theorem 1.2 and arguments for [15, Proposition 9]. \square

Remark 4.8. Considering countable LU-theories for the assertions above we can assume that these theories belong to a class \mathcal{T}^f , where $f \in \omega^\omega$ and $\text{Supp}(f)$ is infinite. Note also that Propositions 4.5–4.7 hold replacing the classes $\overline{\mathcal{T}}_{\text{fin},n}$ by $\overline{\mathcal{T}}_{\omega,1}$.

Replacing E -classes by unary predicates P_i (not necessary disjoint) being universes for structures \mathcal{A}_i and restricting models of $\text{Th}(\mathcal{A}_P)$ to the set of realizations of $p_\infty(x)$ we get the (e, \mathcal{T}) -spectrum $(e, \mathcal{T})\text{-Sp}(\text{Th}(\mathcal{A}_P))$, i. e., the number of pairwise elementary non-equivalent restrictions \mathcal{N} of $\mathcal{M} \models \text{Th}(\mathcal{A}_P)$ to $p_\infty(x)$ such that $\text{Th}(\mathcal{N}) \in \mathcal{T}$.

Proposition 4.9. *If the structures \mathcal{A}_i have pairwise disjoint languages with disjoint predicates P_i then for any natural $n \geq 1$, $(e, \overline{\mathcal{T}}_{\text{fin},n})\text{-Sp}(\text{Th}(\mathcal{A}_P)) \leq 1$, and $(e, \overline{\mathcal{T}} \setminus \overline{\mathcal{T}}_{\text{fin}})\text{-Sp}(\text{Th}(\mathcal{A}_P)) \leq 1$.*

Proof. Clearly, if the structures \mathcal{A}_i have pairwise disjoint languages with disjoint predicates P_i then structures for $p_\infty(x)$ do not contain realizations of language predicates, i. e., have theories T_λ^0 . Now $(e, \overline{\mathcal{T}}_{\text{fin},n})\text{-Sp}(\text{Th}(\mathcal{A}_P)) \leq 1$ and $(e, \overline{\mathcal{T}}_{\text{fin},n})\text{-Sp}(\text{Th}(\mathcal{A}_P)) = 1$ if and only if there are infinitely many indexes i and $\text{Th}(\mathcal{A}_i) \neq T_n^0$ for any i . Similarly, $(e, \overline{\mathcal{T}} \setminus \overline{\mathcal{T}}_{\text{fin}})\text{-Sp}(\text{Th}(\mathcal{A}_P)) \leq 1$ and $(e, \overline{\mathcal{T}} \setminus \overline{\mathcal{T}}_{\text{fin}})\text{-Sp}(\text{Th}(\mathcal{A}_P)) = 1$ if and only if there are infinitely many indexes i and $\text{Th}(\mathcal{A}_i) \neq T_\infty^0$ for any i . \square

Clearly, approximating structures without non-trivial predicates and applying the proof of Proposition 4.9 we get a family of P -combinations with $(e, \overline{\mathcal{T}}_{\text{fin},n})\text{-Sp}(\text{Th}(\mathcal{A}_P)) = 1$, for $n \in \omega \setminus \{0\}$, and $(e, \overline{\mathcal{T}} \setminus \overline{\mathcal{T}}_{\text{fin}})\text{-Sp}(\text{Th}(\mathcal{A}_P)) = 1$.

Comparing approximations in Section 3 and proofs for [14, Propositions 4.12, 4.13] we get

Proposition 4.10. *For any infinite cardinality λ there is a theory $T = \text{Th}(\mathcal{A}_P)$ being a P -combination of theories in $\overline{\mathcal{T}}_{\text{fin}}$ and of a language Σ such that $|\Sigma| = \lambda$ and $e\text{-Sp}(T) = 2^\lambda$.*

5. ALMOST LANGUAGE UNIFORM THEORIES

Definition. A theory T in a predicate language Σ is called *almost language uniform*, or a *ALU-theory* if for each arity n with n -ary predicates for Σ there is a partition for all n -ary predicates, corresponding to the symbols in Σ , with finitely many classes K such that any substitution preserving these classes preserves T , too. The ALU-theory T is called *IILU-theory* if it has non-empty predicates and as soon as there is a non-empty n -ary predicate in a class K then there are infinitely many non-empty n -ary predicates in K and there are infinitely many empty n -ary predicates.

By the definition any LU-theory is an ALU-theory and any IILU-theory is an IIALU-theory as well.

Since any finite structure can have only finitely many distinct predicates for each arity n we get the following

Proposition 5.1. *Any theory $T \in \overline{\mathcal{T}}_{\text{fin}}$ is an ALU-theory.*

Replacing LU- and IILU- by ALU- and IIALU- and the proofs in Propositions 4.5–4.7 we get analogs for these assertions attracting expansions of arbitrary theories in $\overline{\mathcal{T}}_{\text{fin},n}$. Thus any theory in $\overline{\mathcal{T}}_{\text{fin},n}$ can be used obtaining described e -spectra.

6. FAMILIES OF CARDINALITIES FOR MODELS OF THEORIES IN CLOSURES

Let \mathcal{T} be a nonempty family of theories in $\overline{\mathcal{T}}$. We denote by $c_E(\mathcal{T})$ (respectively, $c_P(\mathcal{T})$, $c_P^d(\mathcal{T})$, $c_P^{d,r}(\mathcal{T})$) the set of finite cardinalities for models of theories in $\text{Cl}_E(\mathcal{T})$ ($\text{Cl}_P(\mathcal{T})$, $\text{Cl}_P^d(\mathcal{T})$, $\text{Cl}_P^{d,r}(\mathcal{T})$) and by $\bar{c}_E(\mathcal{T})$ (respectively, $\bar{c}_P(\mathcal{T})$, $\bar{c}_P^d(\mathcal{T})$, $\bar{c}_P^{d,r}(\mathcal{T})$) the set of finite cardinalities for models of theories in $\text{Cl}_E(\mathcal{T})$ ($\text{Cl}_P(\mathcal{T})$, $\text{Cl}_P^d(\mathcal{T})$, $\text{Cl}_P^{d,r}(\mathcal{T})$) which are not cardinalities for models of theories in \mathcal{T} . Additionally, for $\text{Cl}_P(\mathcal{T})$, $\text{Cl}_P^d(\mathcal{T})$ and $\text{Cl}_P^{d,r}(\mathcal{T})$ we denote by $\hat{c}_P(\mathcal{T})$, $\hat{c}_P^d(\mathcal{T})$, $\hat{c}_P^{d,r}(\mathcal{T})$, respectively, the set of finite cardinalities for models of theories being restrictions for corresponding P -combinations to sets of realizations of types $p_\infty(x)$.

Remark 6.1. Since E -closures preserve finite cardinalities for models of theories in families in \mathcal{T} , i.e., $c_E(\mathcal{T})$ consists of these cardinalities for \mathcal{T} , then $\bar{c}_E(\mathcal{T}) \equiv \emptyset$. Thus we can use the notation $c_E(\mathcal{T})$ for the set of finite cardinalities for models of theories in \mathcal{T} , or, equivalently, for models of theories in $\text{Cl}_E(\mathcal{T})$.

Remark 6.2. If \mathcal{T} is finite, or corresponding $p_\infty(x)$ is consistent and there are no models with finitely many realizations for $p_\infty(x)$, then $c_P(\mathcal{T}) = c_P^d(\mathcal{T}) = c_E(\mathcal{T})$ and $\bar{c}_P(\mathcal{T}) = \bar{c}_P^d(\mathcal{T}) = \hat{c}_P(\mathcal{T}) = \hat{c}_P^d(\mathcal{T}) = \emptyset$.

Examples of families of theories in the empty language Σ_0 witness that the cardinalities for sets of realizations of $p_\infty(x)$ can vary arbitrarily and for finite \mathcal{T} we have $c_P^{d,r}(\mathcal{T}) = \hat{c}_P^{d,r}(\mathcal{T}) = \mathbb{Z}^+$ and $\bar{c}_P^{d,r}(\mathcal{T}) = \mathbb{Z}^+ \setminus c_E(\mathcal{T})$.

Having an infinite family \mathcal{T} in the language Σ_0 , similarly we get $c_P(\mathcal{T}) = c_P^d(\mathcal{T}) = c_P^{d,r}(\mathcal{T}) = \hat{c}_P(\mathcal{T}) = \hat{c}_P^d(\mathcal{T}) = \hat{c}_P^{d,r}(\mathcal{T}) = \mathbb{Z}^+$ and $\bar{c}_P(\mathcal{T}) = \bar{c}_P^d(\mathcal{T}) = \bar{c}_P^{d,r}(\mathcal{T}) = \mathbb{Z}^+ \setminus c_E(\mathcal{T})$. The latter formula shows that $\bar{c}_P(\mathcal{T})$, $\bar{c}_P^d(\mathcal{T})$, and $\bar{c}_P^{d,r}(\mathcal{T})$ can be arbitrary subsets of \mathbb{Z}^+ with infinite complements. Thus we have the following

Proposition 6.3. *For any infinite set $Y \subseteq \mathbb{Z}^+$ there is a family \mathcal{T} such that $\bar{c}_P(\mathcal{T}) = \bar{c}_P^d(\mathcal{T}) = \bar{c}_P^{d,r}(\mathcal{T}) = \mathbb{Z}^+ \setminus Y$.*

Example 6.4. If the language Σ consists of the symbol E_k of the equivalence relation whose each class has $k \in \omega$ elements then $p_\infty(x)$ can form an arbitrary structure with k -element equivalence classes and for a finite family \mathcal{T}_k we have $c_P^{d,r}(\mathcal{T}_k) = \hat{c}_P^{d,r}(\mathcal{T}_k) = k\mathbb{Z}^+$ and $\bar{c}_P^{d,r}(\mathcal{T}_k) = k\mathbb{Z}^+ \setminus c_E(\mathcal{T}_k)$. If the family \mathcal{T}_k is infinite then, similarly, $c_P(\mathcal{T}_k) = c_P^d(\mathcal{T}_k) = c_P^{d,r}(\mathcal{T}_k) = \hat{c}_P(\mathcal{T}_k) = \hat{c}_P^d(\mathcal{T}_k) = \hat{c}_P^{d,r}(\mathcal{T}_k) = k\mathbb{Z}^+$ and $\bar{c}_P(\mathcal{T}_k) = \bar{c}_P^d(\mathcal{T}_k) = \bar{c}_P^{d,r}(\mathcal{T}_k) = k\mathbb{Z}^+ \setminus c_E(\mathcal{T}_k)$.

More generally, collecting the families of theories with distinct E_k , $k \in K$, $K \subset \omega$, we obtain nonempty values for c_P , c_P^d , $c_P^{d,r}$, \hat{c}_P , \hat{c}_P^d , $\hat{c}_P^{d,r}$ as unions $\bigcup_{k \in K} k\mathbb{Z}^+$.

Now we have to show that all possible nonempty values for \hat{c}_P^d and $\hat{c}_P^{d,r}$ are exhausted by the sums $\biguplus_{k \in K} k\mathbb{Z}^+$ (unions with finite sums for numbers in $k\mathbb{Z}^+$) whereas values for \hat{c}_P may differ.

Theorem 6.5. *For any nonempty family \mathcal{T} there is $K \subset \omega$ such that $\hat{c}_P^{d,r}(\mathcal{T}) = \biguplus_{k \in K} k\mathbb{Z}^+$.*

Proof. Recall that for P -combinations with respect to $\text{Cl}_P^{d,r}$ there are no links between disjoint predicates P_i with structures \mathcal{A}_i being models of theories in \mathcal{T} . Therefore if $p_\infty(x)$ can produce finite structures then structures \mathcal{A}_i with 1-types approximating $p_\infty(x)$, define (partial) definable equivalence relations with bounded finite classes $E(a)$ and without definable extensions, for the approximations and for $p_\infty(x)$. So there are no links between the classes $E(a)$ and having k elements in $E(a)$ we produce, by compactness, a series of $1, 2, \dots, n, \dots$ E -classes for $p_\infty(x)$ since $p_\infty(x)$ is not isolated. Thus we get a series $k\mathbb{Z}^+$ for $\hat{c}_P^{d,r}(\mathcal{T})$. Varying finite cardinalities for the classes $E(a)$ we obtain the required formula $\hat{c}_P^{d,r}(\mathcal{T}) = \biguplus_{k \in K} k\mathbb{Z}^+$ for some set $K \subset \omega$ witnessing these cardinalities. If $p_\infty(x)$ can produce finite structures then we set $K \equiv \emptyset$. \square

Theorem 6.6. *For any infinite family \mathcal{T} there is $K \subset \omega$ such that $\hat{c}_P^d(\mathcal{T}) = \biguplus_{k \in K} k\mathbb{Z}^+$.*

Proof repeats the proof of Theorem 6.5 using structures \mathcal{A}_i which pairwise are not elementary equivalent. \square

Remark 6.7. 1. In Theorems 6.5 and 6.6, if we have minimal K with $|K| > 1$ then the type $p_\infty(x)$ is not complete. Indeed, taking, for sets of realizations of $p_\infty(x)$, maximal definable equivalence relations E_1 and E_2 for $k_1 \neq k_2 \in K$ we can not move, by automorphisms, elements of E_1 -classes to elements of E_2 -classes.

2. Clearly, having E_1 -classes and E_2 -classes of same cardinalities with non-isomorphic structures we again can not connect elements of these classes by automorphisms. Thus, $|K| = 1$ is a necessary but not sufficient condition for the completeness of $p_\infty(x)$.

3. The least cardinality $|K|$, with positive $\hat{c}_P^{d,r}$ or \hat{c}_P^d , gives a lower bound for independent equivalence relations with respect to their realizability/omitting for restrictions of models to sets of realizations of $p_\infty(x)$.

Remark 6.8. Finite structures \mathcal{A}_∞ for maximal definable equivalence relations for $p_\infty(x)$ with respect to Cl_P^d and to $\text{Cl}_P^{d,r}$ can be isomorphic if and only if they are represented in some \mathcal{A}_i for $\text{Cl}_P^{d,r}$ and infinitely many times for Cl_P^d , or approximated both for $\text{Cl}_P^{d,r}$ and for Cl_P^d . Hence, for any infinite family \mathcal{T} , $\hat{c}_P^d(\mathcal{T}) = \hat{c}_P^{d,r}(\mathcal{T})$ if and only if each n -element class for maximal definable equivalence relations for $p_\infty(x)$ with respect to $\text{Cl}_P^{d,r}$ has n -element classes for correspondent definable equivalence relations in infinitely many pairwise elementary non-equivalent structures \mathcal{A}_i , with respect to Cl_P^d .

Definition [2]. Let \mathcal{M} be a model of a theory T , \bar{a} and \bar{b} tuples in \mathcal{M} , A a subset of M . The tuple \bar{a} *semi-isolates* the tuple \bar{b} over the set A if there exists a formula $\varphi(\bar{a}, \bar{y}) \in \text{tp}(\bar{b}/A\bar{a})$ for which $\varphi(\bar{a}, \bar{y}) \vdash \text{tp}(\bar{b}/A)$ holds. In this case we say that the formula $\varphi(\bar{x}, \bar{y})$ (with parameters in A) *witnesses that \bar{b} is semi-isolated over \bar{a} with respect to A* .

If $p \in S(T)$ and $\mathcal{M} \models T$ then $\text{SI}_p^{\mathcal{M}}$ denotes the relation of semi-isolation (over \emptyset) on the set of all realizations of p :

$$\text{SI}_p^{\mathcal{M}} = \{(\bar{a}, \bar{b}) \mid \mathcal{M} \models p(\bar{a}) \wedge p(\bar{b}) \text{ and } \bar{a} \text{ semi-isolates } \bar{b}\}.$$

The following definition generalizes the previous one for a family of 1-types, in particular, for incomplete $p_\infty(x)$.

Definition [8]. Let T be a complete theory, $\mathcal{M} \models T$. We consider *closed* nonempty sets (under the natural topology) sets $\mathbf{p}(x) \subseteq S^1(\emptyset)$, i. e., sets $\mathbf{p}(x)$ such that $\mathbf{p}(x) = \bigcap_{i \in I} [\varphi_{\mathbf{p},i}(x)]$, where $[\varphi_{\mathbf{p},i}(x)] = \{p(x) \in S^1(\emptyset) \mid \varphi_{\mathbf{p},i}(x) \in p(x)\}$ for some formulas $\varphi_{\mathbf{p},i}(x)$ of T .

For closed sets $\mathbf{p}(x), \mathbf{q}(y) \subseteq S(\emptyset)$ of types, realized in \mathcal{M} , we consider (\mathbf{p}, \mathbf{q}) -*preserving* (\mathbf{p}, \mathbf{q})-*semi-isolating*, $(\mathbf{p} \rightarrow \mathbf{q})$ -, or $(\mathbf{q} \leftarrow \mathbf{p})$ -*formulas* $\varphi(x, y)$ of T , i. e., formulas for which if $a \in M$ realizes a type in $\mathbf{p}(x)$ then every solution of $\varphi(a, y)$ realizes a type in $\mathbf{q}(y)$.

If $\mathbf{p}(x) = \mathbf{q}(y)$ then (\mathbf{p}, \mathbf{q}) -preserving formulas are called \mathbf{p} -*preserving* or \mathbf{p} -*semi-isolating* and we define, similarly to $\text{SI}_p^{\mathcal{M}}$, the *generalized* relation $\text{SI}_{\mathbf{p}}^{\mathcal{M}}$ of semi-isolation for the set of realizations of types in $\mathbf{p}(x)$:

$$\text{SI}_{\mathbf{p}}^{\mathcal{M}} \equiv \{(a, b) \mid \mathcal{M} \models p(a) \wedge p'(b) \wedge \varphi(a, b)\}$$

for $p, p' \in \mathbf{p}$ and a \mathbf{p} -preserving formula $\varphi(x, y)$.

If $(a, b) \in \text{SI}_{\mathbf{p}}^{\mathcal{M}}$ we say that a semi-isolates b with respect to \mathbf{p} .

Thus, a semi-isolates b (in sense of [2]) if and only if a semi-isolates b with respect to $\{\text{tp}(a), \text{tp}(b)\}$.

Remark 6.9. Since there are no links between structures \mathcal{A}_i with respect to Cl_P^d and $\text{Cl}_P^{d,r}$, the set \mathbf{p}_{∞} of all completions $q(x)$ of $p_{\infty}(x)$ has symmetric $\text{SI}_{\mathbf{p}_{\infty}}^{\mathcal{M}}$. Thus, the relations $\text{SI}_{\mathbf{p}_{\infty}}^{\mathcal{M}}$ form equivalence relations. Positive values for \hat{c}_P^d and $\hat{c}_P^{d,r}$ imply that these equivalence relations have finite classes. Cardinalities of these classes define formulas in Theorems 6.5 and 6.6.

Now we consider the general case, with the operator Cl_P . One can hardly expect productive descriptions considering arbitrary links of structures with respect to arbitrary links of predicates P_i , in contrast to the disjoint predicates when, obviously, there are no links between the structures. So we will fix a P -combination \mathcal{A}_P (and its theory $T = \text{Th}(\mathcal{A}_P)$) and consider the set $\hat{c}_P(T)$ of values of finite cardinalities for $p_{\infty}(x)$ with respect to given P -combination T , instead of the set $\hat{c}_P(T)$ of values for all finite values for all possible P -combinations. In other words we argue to describe sets of finite cardinalities for sets of realizations of a nonprincipal, not necessary complete, 1-type $p_{\infty}(x)$.

We note the following obvious observations.

Remark 6.10. 1. If any $n \in \omega$ realizations of a type $p_{\infty}(x)$ force infinitely many realizations of $p_{\infty}(x)$ then it is true for any $m > n$.

2. If a and b are realizations of a type $p_{\infty}(x)$ and a does not semi-isolate b with respect to \mathbf{p}_{∞} then there are no formulas $\varphi(x, y)$ with $\models \varphi(a, b)$ and forcing finitely or infinitely many realizations for the type $q = \text{tp}(b/a)$, i. e., the set of realizations of q can be empty and infinite, depending on a model.

The first observation shows that having n which forces infinity, we get $\hat{c}_P(T) \subset n$. The second one implies that realizations of \mathbf{p}_{∞} , which are not connected by the relation of semi-isolation, contribute to $\hat{c}_P(T)$ independently on the binary level. Moreover, these contributions by realizations a and b can generate distinct series, as in Theorems 6.5 and 6.5, only if $\text{tp}(a) \neq \text{tp}(b)$.

The following example shows that there is a theory T with $\hat{c}_P(T) = \{1\}$ clarifying that contributions above on the binary level deny by the ternary level.

Example 6.11. Consider a coloring $\text{Col}: M \rightarrow \omega \cup \{\infty\}$ of an infinite set M such that each color $\lambda \in \omega \cup \{\infty\}$ has infinitely many elements in M , i. e., each $\text{Col}_n = \{a \in M \mid \text{Col}(a) = n\}$ is infinite as well as there are infinitely many elements of the infinite color. We put $P_i = M \setminus \bigcup_{j < i} \text{Col}_j$ and $p_{\infty}(x) = \{\neg P_n(x) \mid n \in \omega\}$.

Now we define, using a generic construction with free amalgams [12, 7], a ternary relation R such that for the definable relation $Q(x, y) \equiv \exists z R(x, y, z)$ we have the following properties:

- 1) the Q -structure has unique 1-type and, moreover, its automorphism group is transitive;
- 2) $R(x, y, z) \equiv Q(x, z) \wedge Q(y, z)$;

3) Col is an inessential coloring which is not neither Q -ordered nor Q^{-1} -ordered [12, 6], moreover, for any element $a \in M$ the sets of solutions for $Q(a, y)$ and $Q(x, a)$ have infinitely many elements of each color;

4) for any $a \neq b \in M$ the set of solutions for $R(a, b, z)$ is infinite for each color $n \geq \min\{\text{Col}(a), \text{Col}(b)\}$ and does not have elements of colors $< \min\{\text{Col}(a), \text{Col}(b)\}$, hence, $R(a, b, z) \vdash p_\infty(z)$ if $\models p_\infty(a)$ and $\models p_\infty(b)$.

Taking the generic structure \mathcal{M} in the language $\langle P_n^{(1)}, Q^{(2)}, R^{(3)} \rangle_{n \in \omega}$ and its theory $T = \text{Th}(\mathcal{M})$, being a P -combination, we have $\hat{c}_P(T) = \{1\}$ since the nonisolated type $p_\infty(x)$ can have, in a model of T , 0, 1, or infinitely many realizations: one realization of $p_\infty(x)$ does not force new ones and two distinct realizations a and b of $p_\infty(x)$ force infinitely many ones by the formula $R(a, b, z)$.

Example 6.12. We modify Example 6.11 replacing elements by E_k -classes, where each class contains k elements, and repeat the generic construction satisfying the following conditions:

- 2) if $aE_k a'$ then $\text{Col}(a) = \text{Col}(a')$;
- 2) if $(a, b) \in Q$, $aE_k a'$, $bE_k b'$, then $(a', b') \in Q$.

The theory T_k of resulting generic structure \mathcal{M}_k satisfies $\hat{c}_P(T_k) = \{k\}$ since each realization a of $p_\infty(x)$ forces k realizations of $p_\infty(x)$ consisting of $E_k(a)$ and any two realizations of $p_\infty(x)$ belonging to distinct E_k -classes forces infinitely many E_k -classes with elements satisfying $p_\infty(x)$.

Combining structures \mathcal{M}_k with distinct k we obtain a generic structure whose theory T satisfies $\hat{c}_P(T) = K$ for a given set $K \subseteq \mathbb{Z}^+$. Here sets of realizations of $p_\infty(x)$ are divided into E_k -classes for $k \in K$.

Thus we have the following theorem asserting that values $\hat{c}_P(T)$ can be arbitrary.

Theorem 6.13. *For any set $K \subseteq \mathbb{Z}^+$ there is a P -combination T such that $\hat{c}_P(T) = K$.*

Now we argue to modify the generic construction and Theorem 6.13 using transitive arrangements of algebraic systems similar to [9, 5], and obtaining a similar result with complete $p_\infty(x)$ describing possibilities for $\hat{c}_P(T)$.

For this aim we fix a nonempty set $K \subseteq \mathbb{Z}^+$ claiming for $\hat{c}_P(T) = K$ with some P -combination T . Note that if $1 \notin K$ then either any realization a of $p_\infty(x)$ forces infinitely many realizations or a belongs to the maximal finite definable E -class with some $k_0 > 1$ elements. At first case, by completeness of $p_\infty(x)$, any finite set of realizations of $p_\infty(x)$ forces that infinity and therefore $K = \emptyset$ contradicting the condition $K \neq \emptyset$. At second case, again by completeness of $p_\infty(x)$, we have $K \subseteq k_0\mathbb{Z}^+$. Replacing elements by their E -classes we reduce the problem of construction of T with $\hat{c}_P(T) = K$ to the case $1 \in K$.

Example 6.11 witnesses the possibility for $\hat{c}_P(T) = \{1\}$. So below we assume that $1 \in K$ and $|K| \geq 2$.

Now for each $k \in K \setminus \{1\}$ we introduce a ternary relation R_k defining a free (acyclic) precise pseudoplane \mathcal{P}_k [9] with infinitely many lines containing any fixed point and exactly k points belonging to any fixed line such that \mathcal{P}_k has infinitely many connected components. Then we combine these free pseudoplanes \mathcal{P}_k allowing that each point belongs to each pseudoplane \mathcal{P}_k and the union of sets of lines does not form cycles. We embed copies of that combination \mathcal{P} of the pseudoplanes into unary predicates Col_n as well as to the structure of $p_\infty(x)$.

Modifying Example 6.11 we introduce a binary predicate Q such that;

1) if $(a, b) \in Q$ and $(a, c) \in Q$ then a, b, c belong to pairwise distinct connected components of \mathcal{P} , the same is satisfied for Q^{-1} (as in Example described in [12, Section 1.3] and in [4]);

2) elements a_1, \dots, a_m , $m > 1$, realizing $p_\infty(x)$ and belonging to a common line l force all elements of l and do not force elements outside l ;

3) if a and b are realizations of $p_\infty(x)$ which do not have a common line then a and b force infinitely many realizations of $p_\infty(x)$ by the formula $Q(a, y) \wedge Q(b, y)$.

The resulted generic structure \mathcal{M} of the language $\langle \text{Col}_n, Q, R_k \rangle_{n \in \omega, k \in K \setminus \{1\}}$ and its theory T satisfy the following properties:

i) any realization of $p_\infty(x)$ does not force new realizations of $p_\infty(x)$ witnessing $1 \in \hat{c}_P(T)$;

ii) any at least two distinct realizations of $p_\infty(x)$ in a line l belonging to \mathcal{P}_k force exactly the set l witnessing $k \in \hat{c}_P(T)$ for $k \in K$;

iii) any two distinct realizations of $p_\infty(x)$ which do not have common lines force infinitely many realizations of $p_\infty(x)$ witnessing $k' \notin \hat{c}_P(T)$ for $k' \notin K$.

Thus we get $\hat{c}_P(T) = K$.

Collecting the arguments above we have the following

Theorem 6.14. (1) *If T is a P -combination with a type $p_\infty(x)$ isolating a complete 1-type then $\hat{c}_P(T)$ is either empty or contains k_0 such that $\hat{c}_P(T) \subseteq k_0\mathbb{Z}^+$.*

(2) *For any set $K \subseteq k_0\mathbb{Z}^+$, being empty or containing k_0 , there is a P -combination T with a type $p_\infty(x)$ isolating a complete 1-type such that $\hat{c}_P(T) = K$.*

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