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## ALGEBRAS FOR DEFINABLE FAMILIES OF THEORIES

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**ABSTRACT.** We consider algebras associated with sentence-definable and diagram-definable subfamilies of families of theories. Topological properties and ranks for these algebras are characterized.

**Keywords:** family of theories, definable subfamily, algebra for definable subfamilies, rank, degree.

We define algebras associated with sentence-definable and diagram-definable subfamilies [1] as well as related characteristics connected with given families of theories. Topological properties and ranks for these algebras are characterized.

### 1. PRELIMINARIES

Throughout the paper we consider families  $\mathcal{T}$  of complete first-order theories of a relational language  $\Sigma = \Sigma(\mathcal{T})$  and use the following terminology in [1, 2, 3, 4, 5, 6, 7, 8, 9, 10].

Let  $P = (P_i)_{i \in I}$ , be a family of nonempty unary predicates,  $(\mathcal{A}_i)_{i \in I}$  be a family of structures such that  $P_i$  is the universe of  $\mathcal{A}_i$ ,  $i \in I$ , and the symbols  $P_i$  are disjoint with languages for the structures  $\mathcal{A}_j$ ,  $j \in I$ . The structure  $\mathcal{A}_P \rightleftharpoons \bigcup_{i \in I} \mathcal{A}_i$  expanded by the predicates  $P_i$  is the  $P$ -union of the structures  $\mathcal{A}_i$ , and the operator mapping  $(\mathcal{A}_i)_{i \in I}$  to  $\mathcal{A}_P$  is the  $P$ -operator. The structure  $\mathcal{A}_P$  is called the  $P$ -combination of the structures  $\mathcal{A}_i$  and denoted by  $\text{Comb}_P(\mathcal{A}_i)_{i \in I}$  if  $\mathcal{A}_i = (\mathcal{A}_P \upharpoonright \mathcal{A}_i) \upharpoonright \Sigma(\mathcal{A}_i)$ ,  $i \in I$ . Structures  $\mathcal{A}'$ , which are elementary equivalent to  $\text{Comb}_P(\mathcal{A}_i)_{i \in I}$ , will be also considered as  $P$ -combinations.

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Clearly, all structures  $\mathcal{A}' \equiv \text{Comb}_P(\mathcal{A}_i)_{i \in I}$  are represented as unions of their restrictions  $\mathcal{A}'_i = (\mathcal{A}' \upharpoonright P_i) \upharpoonright \Sigma(\mathcal{A}_i)$  if and only if the set  $p_\infty(x) = \{\neg P_i(x) \mid i \in I\}$  is inconsistent. If  $\mathcal{A}' \neq \text{Comb}_P(\mathcal{A}'_i)_{i \in I}$ , we write  $\mathcal{A}' = \text{Comb}_P(\mathcal{A}'_i)_{i \in I \cup \{\infty\}}$ , where  $\mathcal{A}'_\infty = \mathcal{A}' \upharpoonright \bigcap_{i \in I} \overline{P}_i$ , maybe applying Morleyzation. Moreover, we write

$$\text{Comb}_P(\mathcal{A}_i)_{i \in I \cup \{\infty\}}$$

for  $\text{Comb}_P(\mathcal{A}_i)_{i \in I}$  with the empty structure  $\mathcal{A}_\infty$ .

Note that if all predicates  $P_i$  are disjoint, a structure  $\mathcal{A}_P$  is a  $P$ -combination and a disjoint union of structures  $\mathcal{A}_i$ . In this case the  $P$ -combination  $\mathcal{A}_P$  is called *disjoint*. Clearly, for any disjoint  $P$ -combination  $\mathcal{A}_P$ ,  $\text{Th}(\mathcal{A}_P) = \text{Th}(\mathcal{A}'_P)$ , where  $\mathcal{A}'_P$  is obtained from  $\mathcal{A}_P$  replacing  $\mathcal{A}_i$  by pairwise disjoint  $\mathcal{A}'_i \equiv \mathcal{A}_i$ ,  $i \in I$ . Thus, in this case, similar to structures the  $P$ -operator works for the theories  $T_i = \text{Th}(\mathcal{A}_i)$  producing the theory  $T_P = \text{Th}(\mathcal{A}_P)$ , being  $P$ -combination of  $T_i$ , which is denoted by  $\text{Comb}_P(T_i)_{i \in I}$ .

Notice that  $P$ -combinations are represented by generalized products of structures [11].

For an equivalence relation  $E$  replacing disjoint predicates  $P_i$  by  $E$ -classes we get the structure  $\mathcal{A}_E$  being the  $E$ -union of the structures  $\mathcal{A}_i$ . In this case the operator mapping  $(\mathcal{A}_i)_{i \in I}$  to  $\mathcal{A}_E$  is the  $E$ -operator. The structure  $\mathcal{A}_E$  is also called the  $E$ -combination of the structures  $\mathcal{A}_i$  and denoted by  $\text{Comb}_E(\mathcal{A}_i)_{i \in I}$ ; here  $\mathcal{A}_i = (\mathcal{A}_E \upharpoonright \mathcal{A}_i) \upharpoonright \Sigma(\mathcal{A}_i)$ ,  $i \in I$ . Similar above, structures  $\mathcal{A}'$ , which are elementary equivalent to  $\mathcal{A}_E$ , are denoted by  $\text{Comb}_E(\mathcal{A}'_j)_{j \in J}$ , where  $\mathcal{A}'_j$  are restrictions of  $\mathcal{A}'$  to its  $E$ -classes. The  $E$ -operator works for the theories  $T_i = \text{Th}(\mathcal{A}_i)$  producing the theory  $T_E = \text{Th}(\mathcal{A}_E)$ , being  $E$ -combination of  $T_i$ , which is denoted by  $\text{Comb}_E(T_i)_{i \in I}$  or by  $\text{Comb}_E(\mathcal{T})$ , where  $\mathcal{T} = \{T_i \mid i \in I\}$ .

Clearly,  $\mathcal{A}' \equiv \mathcal{A}_P$  realizing  $p_\infty(x)$  is not elementary embeddable into  $\mathcal{A}_P$  and can not be represented as a disjoint  $P$ -combination of  $\mathcal{A}'_i \equiv \mathcal{A}_i$ ,  $i \in I$ . At the same time, there are  $E$ -combinations such that all  $\mathcal{A}' \equiv \mathcal{A}_E$  can be represented as  $E$ -combinations of some  $\mathcal{A}'_j \equiv \mathcal{A}_i$ . We call this representability of  $\mathcal{A}'$  to be the  $E$ -representability.

If there is  $\mathcal{A}' \equiv \mathcal{A}_E$  which is not  $E$ -representable, we have the  $E'$ -representability replacing  $E$  by  $E'$  such that  $E'$  is obtained from  $E$  adding equivalence classes with models for all theories  $T$ , where  $T$  is a theory of a restriction  $\mathcal{B}$  of a structure  $\mathcal{A}' \equiv \mathcal{A}_E$  to some  $E$ -class and  $\mathcal{B}$  is not elementary equivalent to the structures  $\mathcal{A}_i$ . The resulting structure  $\mathcal{A}_{E'}$  (with the  $E'$ -representability) is a  $e$ -completion, or a  $e$ -saturation, of  $\mathcal{A}_E$ . The structure  $\mathcal{A}_{E'}$  itself is called  $e$ -complete, or  $e$ -saturated, or  $e$ -universal, or  $e$ -largest.

For a structure  $\mathcal{A}_E$  the number of *new* structures with respect to the structures  $\mathcal{A}_i$ , i. e., of the structures  $\mathcal{B}$  which are pairwise elementary non-equivalent and elementary non-equivalent to the structures  $\mathcal{A}_i$ , is called the  $e$ -spectrum of  $\mathcal{A}_E$  and denoted by  $e\text{-Sp}(\mathcal{A}_E)$ . The value  $\sup\{e\text{-Sp}(\mathcal{A}') \mid \mathcal{A}' \equiv \mathcal{A}_E\}$  is called the  $e$ -spectrum of the theory  $\text{Th}(\mathcal{A}_E)$  and denoted by  $e\text{-Sp}(\text{Th}(\mathcal{A}_E))$ . If structures  $\mathcal{A}_i$  represent theories  $T_i$  of a family  $\mathcal{T}$ , consisting of  $T_i$ ,  $i \in I$ , then the  $e$ -spectrum  $e\text{-Sp}(\mathcal{A}_E)$  is denoted by  $e\text{-Sp}(\mathcal{T})$ .

If  $\mathcal{A}_E$  does not have  $E$ -classes  $\mathcal{A}_i$ , which can be removed, with all  $E$ -classes  $\mathcal{A}_j \equiv \mathcal{A}_i$ , preserving the theory  $\text{Th}(\mathcal{A}_E)$ , then  $\mathcal{A}_E$  is called  $e$ -prime, or  $e$ -minimal.

For a structure  $\mathcal{A}' \equiv \mathcal{A}_E$  we denote by  $\text{TH}(\mathcal{A}')$  the set of all theories  $\text{Th}(\mathcal{A}_i)$  of  $E$ -classes  $\mathcal{A}_i$  in  $\mathcal{A}'$ .

By the definition, an  $e$ -minimal structure  $\mathcal{A}'$  consists of  $E$ -classes with a minimal set  $\text{Th}(\mathcal{A}')$ . If  $\text{Th}(\mathcal{A}')$  is the least for models of  $\text{Th}(\mathcal{A}')$  then  $\mathcal{A}'$  is called  $e$ -least.

**Definition [3].** Let  $\overline{\mathcal{T}}_\Sigma$  be the set of all complete elementary theories of a relational language  $\Sigma$ . For a set  $\mathcal{T} \subset \overline{\mathcal{T}}_\Sigma$  we denote by  $\text{Cl}_E(\mathcal{T})$  the set of all theories  $\text{Th}(\mathcal{A})$ , where  $\mathcal{A}$  is a structure of some  $E$ -class in  $\mathcal{A}' \equiv \mathcal{A}_E$ ,  $\mathcal{A}_E = \text{Comb}_E(\mathcal{A}_i)_{i \in I}$ ,  $\text{Th}(\mathcal{A}_i) \in \mathcal{T}$ . As usual, if  $\mathcal{T} = \text{Cl}_E(\mathcal{T})$  then  $\mathcal{T}$  is said to be  $E$ -closed.

The operator  $\text{Cl}_E$  of  $E$ -closure can be naturally extended to the classes  $\mathcal{T} \subset \overline{\mathcal{T}}$ , where  $\overline{\mathcal{T}}$  is the union of all  $\overline{\mathcal{T}}_\Sigma$  as follows:  $\text{Cl}_E(\mathcal{T})$  is the union of all  $\text{Cl}_E(\mathcal{T}_0)$  for subsets  $\mathcal{T}_0 \subseteq \mathcal{T}$ , where new language symbols with respect to the theories in  $\mathcal{T}_0$  are empty.

For a set  $\mathcal{T} \subset \overline{\mathcal{T}}$  of theories in a language  $\Sigma$  and for a sentence  $\varphi$  with  $\Sigma(\varphi) \subseteq \Sigma$  we denote by  $\mathcal{T}_\varphi$  the set  $\{T \in \mathcal{T} \mid \varphi \in T\}$ . Any set  $\mathcal{T}_\varphi$  is called the  $\varphi$ -neighbourhood, or simply a neighbourhood, for  $\mathcal{T}$ , or the  $(\varphi)$ -definable subset of  $\mathcal{T}$ . The set  $\mathcal{T}_\varphi$  is also called (*formula- or sentence-*)definable (by the sentence  $\varphi$ ) with respect to  $\mathcal{T}$ , or (*sentence-*) $\mathcal{T}$ -definable, or simply  $s$ -definable.

**Proposition 1.1 [3].** *If  $\mathcal{T} \subset \overline{\mathcal{T}}$  is an infinite set and  $T \in \overline{\mathcal{T}} \setminus \mathcal{T}$  then  $T \in \text{Cl}_E(\mathcal{T})$  (i.e.,  $T$  is an accumulation point for  $\mathcal{T}$  with respect to  $E$ -closure  $\text{Cl}_E$ ) if and only if for any formula  $\varphi \in T$  the set  $\mathcal{T}_\varphi$  is infinite.*

If  $T$  is an accumulation point for  $\mathcal{T}$  then we also say that  $T$  is an *accumulation point* for  $\text{Cl}_E(\mathcal{T})$ .

**Theorem 1.2 [3].** *For any sets  $\mathcal{T}_0, \mathcal{T}_1 \subset \overline{\mathcal{T}}$ ,  $\text{Cl}_E(\mathcal{T}_0 \cup \mathcal{T}_1) = \text{Cl}_E(\mathcal{T}_0) \cup \text{Cl}_E(\mathcal{T}_1)$ .*

**Definition [3].** Let  $\mathcal{T}_0$  be a closed set in a topological space  $(\mathcal{T}, \mathcal{O}_E(\mathcal{T}))$ , where  $\mathcal{O}_E(\mathcal{T}) = \{\mathcal{T} \setminus \text{Cl}_E(\mathcal{T}') \mid \mathcal{T}' \subseteq \mathcal{T}\}$ . A subset  $\mathcal{T}'_0 \subseteq \mathcal{T}_0$  is said to be *generating* if  $\mathcal{T}_0 = \text{Cl}_E(\mathcal{T}'_0)$ . The generating set  $\mathcal{T}'_0$  (for  $\mathcal{T}_0$ ) is *minimal* if  $\mathcal{T}'_0$  does not contain proper generating subsets. A minimal generating set  $\mathcal{T}'_0$  is *least* if  $\mathcal{T}'_0$  is contained in each generating set for  $\mathcal{T}_0$ .

**Theorem 1.3 [3].** *If  $\mathcal{T}'_0$  is a generating set for a  $E$ -closed set  $\mathcal{T}_0$  then the following conditions are equivalent:*

- (1)  $\mathcal{T}'_0$  is the least generating set for  $\mathcal{T}_0$ ;
- (2)  $\mathcal{T}'_0$  is a minimal generating set for  $\mathcal{T}_0$ ;
- (3) any theory in  $\mathcal{T}'_0$  is isolated by some set  $(\mathcal{T}'_0)_\varphi$ , i.e., for any  $T \in \mathcal{T}'_0$  there is  $\varphi \in T$  such that  $(\mathcal{T}'_0)_\varphi = \{T\}$ ;
- (4) any theory in  $\mathcal{T}'_0$  is isolated by some set  $(\mathcal{T}_0)_\varphi$ , i.e., for any  $T \in \mathcal{T}'_0$  there is  $\varphi \in T$  such that  $(\mathcal{T}_0)_\varphi = \{T\}$ .

Notice that having the least generating set  $\mathcal{T}'_0$  for a  $E$ -closed set  $\mathcal{T}_0$ ,

$$e\text{-Sp}(\mathcal{T}_0) = e\text{-Sp}(\mathcal{T}'_0) = |\mathcal{T}_0 \setminus \mathcal{T}'_0|.$$

**Definition [8].** Let  $\mathcal{T}$  be a family of theories and  $T$  be a theory,  $T \notin \mathcal{T}$ . The theory  $T$  is called  $\mathcal{T}$ -approximated, or approximated by  $\mathcal{T}$ , or  $\mathcal{T}$ -approximable, or a pseudo- $\mathcal{T}$ -theory, if for any formula  $\varphi \in T$  there is  $T' \in \mathcal{T}$  such that  $\varphi \in T'$ .

If  $T$  is  $\mathcal{T}$ -approximated then  $\mathcal{T}$  is called an *approximating family* for  $T$ , theories  $T' \in \mathcal{T}$  are *approximations* for  $T$ , and  $T$  is an *accumulation point* for  $\mathcal{T}$ .

An approximating family  $\mathcal{T}$  is called  $e$ -minimal if for any sentence  $\varphi \in \Sigma(T)$ ,  $\mathcal{T}_\varphi$  is finite or  $\mathcal{T}_{\neg\varphi}$  is finite.

It was shown in [8] that any  $e$ -minimal family  $\mathcal{T}$  has unique accumulation point  $T$  with respect to neighbourhoods  $\mathcal{T}_\varphi$ , and  $\mathcal{T} \cup \{T\}$  is also called  $e$ -minimal.

Following [9] we define the *rank*  $\text{RS}(\cdot)$  for the families of theories, similar to Morley rank [12], and a hierarchy with respect to these ranks in the following way.

For the empty family  $\mathcal{T}$  we put the rank  $\text{RS}(\mathcal{T}) = -1$ , for finite nonempty families  $\mathcal{T}$  we put  $\text{RS}(\mathcal{T}) = 0$ , and for infinite families  $\mathcal{T} - \text{RS}(\mathcal{T}) \geq 1$ .

For a family  $\mathcal{T}$  and an ordinal  $\alpha = \beta + 1$  we put  $\text{RS}(\mathcal{T}) \geq \alpha$  if there are pairwise inconsistent  $\Sigma(\mathcal{T})$ -sentences  $\varphi_n$ ,  $n \in \omega$ , such that  $\text{RS}(\mathcal{T}_{\varphi_n}) \geq \beta$ ,  $n \in \omega$ .

If  $\alpha$  is a limit ordinal then  $\text{RS}(\mathcal{T}) \geq \alpha$  if  $\text{RS}(\mathcal{T}) \geq \beta$  for any  $\beta < \alpha$ .

We set  $\text{RS}(\mathcal{T}) = \alpha$  if  $\text{RS}(\mathcal{T}) \geq \alpha$  and  $\text{RS}(\mathcal{T}) \not\geq \alpha + 1$ .

If  $\text{RS}(\mathcal{T}) \geq \alpha$  for any  $\alpha$ , we put  $\text{RS}(\mathcal{T}) = \infty$ .

A family  $\mathcal{T}$  is called  $e$ -totally transcendental, or *totally transcendental*, if  $\text{RS}(\mathcal{T})$  is an ordinal.

If  $\mathcal{T}$  is  $e$ -totally transcendental, with  $\text{RS}(\mathcal{T}) = \alpha \geq 0$ , we define the *degree*  $\text{ds}(\mathcal{T})$  of  $\mathcal{T}$  as the maximal number of pairwise inconsistent sentences  $\varphi_i$  such that  $\text{RS}(\mathcal{T}_{\varphi_i}) = \alpha$ .

**Proposition 1.4** [9]. *A family  $\mathcal{T}$  is  $e$ -minimal if and only if  $\text{RS}(\mathcal{T}) = 1$  and  $\text{ds}(\mathcal{T}) = 1$ .*

**Proposition 1.5** [9]. *For any family  $\mathcal{T}$ ,  $\text{RS}(\mathcal{T}) = \text{RS}(\text{Cl}_E(\mathcal{T}))$ , and if  $\mathcal{T}$  is nonempty and  $e$ -totally transcendental then  $\text{ds}(\mathcal{T}) = \text{ds}(\text{Cl}_E(\mathcal{T}))$ .*

**Definition** [9]. A family  $\mathcal{T}$ , with infinitely many accumulation points, is called  $a$ -minimal if for any sentence  $\varphi \in \Sigma(\mathcal{T})$ ,  $\mathcal{T}_\varphi$  or  $\mathcal{T}_{-\varphi}$  has finitely many accumulation points.

**Theorem 1.6** [9]. *For any family  $\mathcal{T}$ ,  $\text{RS}(\mathcal{T}) = 2$ , with  $\text{ds}(\mathcal{T}) = n$ , if and only if  $\mathcal{T}$  is represented as a disjoint union of subfamilies  $\mathcal{T}_{\varphi_1}, \dots, \mathcal{T}_{\varphi_n}$ , for some pairwise inconsistent sentences  $\varphi_1, \dots, \varphi_n$ , such that each  $\mathcal{T}_{\varphi_i}$  is  $a$ -minimal.*

**Definition** [9]. Let  $\alpha$  be an ordinal. A family  $\mathcal{T}$  of rank  $\alpha$  is called  $\alpha$ -minimal if for any sentence  $\varphi \in \Sigma(\mathcal{T})$ ,  $\text{RS}(\mathcal{T}_\varphi) < \alpha$  or  $\text{RS}(\mathcal{T}_{-\varphi}) < \alpha$ .

**Proposition 1.7** [9]. (1) *A family  $\mathcal{T}$  is 0-minimal if and only if  $\mathcal{T}$  is a singleton.*

(2) *A family  $\mathcal{T}$  is 1-minimal if and only if  $\mathcal{T}$  is  $e$ -minimal.*

(3) *A family  $\mathcal{T}$  is 2-minimal if and only if  $\mathcal{T}$  is  $a$ -minimal.*

(4) *For any ordinal  $\alpha$  a family  $\mathcal{T}$  is  $\alpha$ -minimal if and only if  $\text{RS}(\mathcal{T}) = \alpha$  and  $\text{ds}(\mathcal{T}) = 1$ .*

**Proposition 1.8** [9]. *For any family  $\mathcal{T}$ ,  $\text{RS}(\mathcal{T}) = \alpha$ , with  $\text{ds}(\mathcal{T}) = n$ , if and only if  $\mathcal{T}$  is represented as a disjoint union of subfamilies  $\mathcal{T}_{\varphi_1}, \dots, \mathcal{T}_{\varphi_n}$ , for some pairwise inconsistent sentences  $\varphi_1, \dots, \varphi_n$ , such that each  $\mathcal{T}_{\varphi_i}$  is  $\alpha$ -minimal.*

Similarly [12], for a nonempty family  $\mathcal{T}$ , we denote by  $\mathcal{B}(\mathcal{T})$  the Boolean algebra consisting of all subfamilies  $\mathcal{T}_\varphi$ , where  $\varphi$  are sentences in the language  $\Sigma(\mathcal{T})$ .

**Theorem 1.9** [9, 12]. *A nonempty family  $\mathcal{T}$  is  $e$ -totally transcendental if and only if the Boolean algebra  $\mathcal{B}(\mathcal{T})$  is superatomic.*

**Proposition 1.10** [9]. *If an infinite family  $\mathcal{T}$  does not have  $e$ -minimal subfamilies  $\mathcal{T}_\varphi$  then  $\mathcal{T}$  is not  $e$ -totally transcendental.*

Recall the definition of the Cantor–Bendixson rank. It is defined on the elements of a topological space  $X$  by induction:  $\text{CB}_X(p) \geq 0$  for all  $p \in X$ ;  $\text{CB}_X(p) \geq \alpha$  if

and only if for any  $\beta < \alpha$ ,  $p$  is an accumulation point of the points of  $\text{CB}_X$ -rank at least  $\beta$ .  $\text{CB}_X(p) = \alpha$  if and only if both  $\text{CB}_X(p) \geq \alpha$  and  $\text{CB}_X(p) \not\geq \alpha + 1$  hold; if such an ordinal  $\alpha$  does not exist then  $\text{CB}_X(p) = \infty$ . Isolated points of  $X$  are precisely those having rank 0, points of rank 1 are those which are isolated in the subspace of all non-isolated points, and so on. For a non-empty  $C \subseteq X$  we define  $\text{CB}_X(C) = \sup\{\text{CB}_X(p) \mid p \in C\}$ ; in this way  $\text{CB}_X(X)$  is defined and  $\text{CB}_X(\{p\}) = \text{CB}_X(p)$  holds. If  $X$  is compact and  $C$  is closed in  $X$  then the sup is achieved:  $\text{CB}_X(C)$  is the maximum value of  $\text{CB}_X(p)$  for  $p \in C$ ; there are finitely many points of maximum rank in  $C$  and the number of such points is the  $\text{CB}_X$ -degree of  $C$ , denoted by  $n_X(C)$ .

If  $X$  is countable and compact then  $\text{CB}_X(X)$  is a countable ordinal and every closed subset has ordinal-valued rank and finite  $\text{CB}_X$ -degree  $n_X(X) \in \omega \setminus \{0\}$ .

For any ordinal  $\alpha$  the set  $\{p \in X \mid \text{CB}_X(p) \geq \alpha\}$  is called the  $\alpha$ -th *CB-derivative*  $X_\alpha$  of  $X$ .

Elements  $p \in X$  with  $\text{CB}_X(p) = \infty$  form the *perfect kernel*  $X_\infty$  of  $X$ .

Clearly,  $X_\alpha \supseteq X_{\alpha+1}$ ,  $\alpha \in \text{Ord}$ , and  $X_\infty = \bigcap_{\alpha \in \text{Ord}} X_\alpha$ .

Similarly, for a nontrivial superatomic Boolean algebra  $\mathcal{A}$  the characteristics  $\text{CB}_\mathcal{A}(A)$ ,  $n_\mathcal{A}(A)$ , and  $\text{CB}_\mathcal{A}(p)$ , for  $p \in A$ , are defined [13] starting with atomic elements being isolated points. Following [13],  $\text{CB}_\mathcal{A}(A)$  and  $n_\mathcal{A}(A)$  are called the *Cantor–Bendixson invariants*, or *CB-invariants* of  $\mathcal{A}$ .

Recall that by [13, Lemma 17.9],  $\text{CB}_\mathcal{A}(A) < |A|^+$  for any infinite  $\mathcal{A}$ , and the following theorem holds.

**Theorem 1.11** [13, Theorem 17.11]. *Countable superatomic Boolean algebras are isomorphic if and only if they have the same CB-invariants.*

In view of Theorem 1.9 any  $e$ -totally transcendental family  $\mathcal{T}$  defines a superatomic Boolean algebra  $\mathcal{B}(\mathcal{T})$ , and it is easy to observe step-by-step that  $\text{RS}(\mathcal{T}) = \text{CB}_{\mathcal{B}(\mathcal{T})}(\mathcal{B}(\mathcal{T}))$ ,  $\text{ds}(\mathcal{T}) = n_{\mathcal{B}(\mathcal{T})}(\mathcal{B}(\mathcal{T}))$ , i.e., the pair  $(\text{RS}(\mathcal{T}), \text{ds}(\mathcal{T}))$  consists of CB-invariants for  $\mathcal{B}(\mathcal{T})$ .

In particular, by Theorem 1.11, for any countable  $e$ -totally transcendental family  $\mathcal{T}$ ,  $\mathcal{B}(\mathcal{T})$  is uniquely defined, up to isomorphism, by the pair  $(\text{RS}(\mathcal{T}), \text{ds}(\mathcal{T}))$  of CB-invariants.

By the definition for any  $e$ -totally transcendental family  $\mathcal{T}$  each theory  $T \in \mathcal{T}$  obtains the CB-rank  $\text{CB}_\mathcal{T}(T)$  starting with  $\mathcal{T}$ -isolated points  $T_0$ , of  $\text{CB}_\mathcal{T}(T_0) = 0$ . We will denote the values  $\text{CB}_\mathcal{T}(T)$  by  $\text{RS}_\mathcal{T}(T)$  as the rank for the point  $T$  in the topological space on  $\mathcal{T}$  which is defined with respect to  $\Sigma(\mathcal{T})$ -sentences.

**Definition** [1]. If  $\mathcal{T}$  is a family of theories and  $\Phi$  is a set of sentences, then we put  $\mathcal{T}_\Phi = \bigcap_{\varphi \in \Phi} \mathcal{T}_\varphi$  and the set  $\mathcal{T}_\Phi$  is called (*type-* or *diagram-*)*definable* (by the set  $\Phi$ ) with respect to  $\mathcal{T}$ , or (*diagram-*) $\mathcal{T}$ -*definable*, or simply *d-definable*.

Let  $\Phi$  be closed under conjunctions. A sentence  $\varphi \in \mathcal{T}_\Phi$  is called  $\mathcal{T}$ -*isolating*,  $\mathcal{T}$ -*principal* or  $\mathcal{T}$ -*complete* for  $\Phi$ , if  $\mathcal{T}_\Phi = \mathcal{T}_\varphi$ . A set  $\Phi$  is called  $\mathcal{T}$ -*isolated* or  $\mathcal{T}$ -*principal* if  $\Phi$  contains a sentence which is  $\mathcal{T}$ -principal for  $\Phi$ .

As noticed in [1] finite unions of  $d$ -definable sets are again  $d$ -definable. Considering infinite unions  $\mathcal{T}'$  of  $d$ -definable sets  $\mathcal{T}_{\Phi_i}$ ,  $i \in I$ , we can represent them by sets of

formulas with infinite disjunctions  $\bigvee_{i \in I} \varphi_i$ ,  $\varphi_i \in \Phi_i$ . We call these unions  $\mathcal{T}'$  as  $d_\infty$ -definable sets. Since all singletons  $\{T\} \subseteq \mathcal{T}$  are  $d$ -definable, each subfamily  $\mathcal{T}' \subseteq \mathcal{T}$  is  $d_\infty$ -definable.

2. ALGEBRAS FOR SUBFAMILIES OF THEORIES

As noticed in [9], for any nonempty family  $\mathcal{T}$  the set of all  $s$ -definable subfamilies  $\mathcal{T}_\varphi$  form a Boolean algebra  $\mathcal{B}_s(\mathcal{T})$ , being Lindenbaum–Tarski algebra [14, 15], with the relation  $\mathcal{T}_\varphi \subseteq \mathcal{T}_\psi$ ,  $0 = \mathcal{T}_\varphi$  for an inconsistent  $\varphi$ ,  $1 = \mathcal{T} = \mathcal{T}_{\forall x(x \approx x)}$ , and set-theoretic operations  $\mathcal{T}_\varphi \cup \mathcal{T}_\psi = \mathcal{T}_{\varphi \vee \psi}$ ,  $\mathcal{T}_\varphi \cap \mathcal{T}_\psi = \mathcal{T}_{\varphi \wedge \psi}$ ,  $\overline{\mathcal{T}_\varphi} = \mathcal{T}_{\neg \varphi}$ .

The algebra  $\mathcal{B}_s(\mathcal{T})$  is isomorphic to the quotient  $\mathcal{S}(\Sigma)/\equiv_{\mathcal{T}}$  of the algebra  $\mathcal{S}(\Sigma)$  on the set of all  $\Sigma$ -sentences, with  $\Sigma = \Sigma(\mathcal{T})$  and logical operations  $\vee, \wedge, \neg$ . This isomorphism is defined by the rule  $\mathcal{T}_\varphi \mapsto \{\psi \in \mathcal{S}(\Sigma) \mid \psi \equiv_{\mathcal{T}} \varphi\}$ , where  $\psi \equiv_{\mathcal{T}} \varphi \Leftrightarrow \mathcal{T}_\psi = \mathcal{T}_\varphi$ .

Since every distinct theories  $T_1, T_2 \in \mathcal{T}$  are separated by some disjoint neighbourhoods  $\mathcal{T}_\varphi$  and  $\mathcal{T}_{\neg \varphi}$ , all atomic elements in  $\mathcal{B}(\mathcal{T})$  are singletons. Therefore applying Theorem 1.3 we have the following:

**Proposition 2.1.** *For any nonempty  $E$ -closed family  $\mathcal{T}$  the boolean algebra  $\mathcal{B}_s(\mathcal{T})$  is atomic if and only if  $\mathcal{T}$  has the least/minimal generating subfamily.*

*Proof.* Let  $\mathcal{B}_s(\mathcal{T})$  be atomic. Consider the set of all atomic elements of  $\mathcal{B}_s(\mathcal{T})$  which, as noticed above, are singletons and form the subfamily  $\mathcal{T}_0$  of  $\mathcal{T}$  consisting of all elements of these singletons. We assert that  $\mathcal{T}_0$  generates  $\mathcal{T}$ . Indeed, by Proposition 1.1 it suffices to show that if  $T \in \mathcal{T} \setminus \mathcal{T}_0$  then for each  $\varphi \in T$ ,  $(\mathcal{T}_0)_\varphi$  is infinite. Assuming on contrary that for some  $\varphi \in T$ ,  $(\mathcal{T}_0)_\varphi$  is finite. So we can separate  $T$  from  $(\mathcal{T}_0)_\varphi$  by some sentence  $\psi \vdash \varphi$ :  $T \in \mathcal{T}_\psi$  whereas  $(\mathcal{T}_0)_\psi = \emptyset$ . But  $\mathcal{B}_s(\mathcal{T})$  is atomic, so there is a singleton  $\{T_0\} = \mathcal{T}_\chi \subseteq \mathcal{T}_\psi$ , with some  $\chi \vdash \psi$ . So we have both  $T_0 \in \mathcal{T}_\psi$  and  $T_0 \in (\mathcal{T}_0)_\psi$  contradicting  $(\mathcal{T}_0)_\psi = \emptyset$ .

Since  $\mathcal{T}_0$  generates  $\mathcal{T}$ , by Theorem 1.3 the set  $\mathcal{T}_0$  is the least/minimal generating subfamily of  $\mathcal{T}$ .

Now we assume that  $\mathcal{T}$  has the least/minimal generating subfamily  $\mathcal{T}_0$ . Let  $\mathcal{T}_\varphi \neq \emptyset$  containing a theory  $T$ . If  $T \in \mathcal{T}_0$  then there is a singleton  $\mathcal{T}_\psi = \{T\}$  which is an atomic element under  $\mathcal{T}_\varphi$ . If  $T \in \mathcal{T} \setminus \mathcal{T}_0$  then by Proposition 1.1,  $\mathcal{T}_\varphi$  contains infinitely many theories in  $\mathcal{T}_0$ . So again  $\mathcal{T}_\varphi$  has an atomic element  $\mathcal{T}_\psi \subseteq \mathcal{T}_\varphi$ .  $\square$

Now we extend the algebra  $\mathcal{B}_s(\mathcal{T})$  till the algebra  $\mathcal{B}_d(\mathcal{T})$  of all  $d$ -definable subfamilies of  $\mathcal{T}$ . By Properties 4 and 8 of  $d$ -definable sets [1] the algebra  $\mathcal{B}_d(\mathcal{T})$  preserves the operations  $\cap$  and  $\cup$ , whereas complements are defined only for  $\mathcal{T}$ -principal  $d$ -definable sets. Therefore  $\mathcal{B}_d(\mathcal{T})$  contains the operations  $\cap$  and  $\cup$ , being a partial algebra with respect to  $\bar{\phantom{x}}$ . Thus,  $\mathcal{B}_d(\mathcal{T})$  form a distributive lattice, partially, for  $\mathcal{T}$ -principal families, with complements. Besides, by Property 9 [1], each algebra  $\mathcal{B}_d(\mathcal{T})$  is atomic containing all singletons  $\{T\} \subseteq \mathcal{T}$ . Clearly, these atomic elements  $\{T\}$  have (co-atomic) complements if and only if they are  $\mathcal{T}$ -isolated.

The algebra  $\mathcal{B}_d(\mathcal{T})$  admits a natural extension till the algebra  $\mathcal{B}_{d_\infty}(\mathcal{T})$  of all  $d_\infty$ -definable subfamilies of  $\mathcal{T}$ , i.e., of all subfamilies of  $\mathcal{T}$ .

Whereas structures  $\mathcal{B}_s(\mathcal{T})$  and  $\mathcal{B}_{d_\infty}(\mathcal{T})$  are well known, as well as  $\mathcal{B}_d(\mathcal{T})$  for finite  $\mathcal{T}$  and some additional special cases, it is natural to classify structures  $\mathcal{B}_d(\mathcal{T})$  in general case.

Partially answering the classification question we consider totally transcendental families  $\mathcal{T}$  of small ranks. Notice that the algebras  $\mathcal{B}_s(\mathcal{T})$ , for these families, are atomic in view of Theorem 2.1.

**Proposition 2.2.** *For any nonempty family  $\mathcal{T}$  the following conditions are equivalent:*

- (1)  $\text{RS}(\mathcal{T}) = 0$  and  $\text{ds}(\mathcal{T}) = n$ ;
- (2) the Boolean algebra  $\mathcal{B}_s(\mathcal{T})$  consists of  $2^n$  elements with  $n$  atoms generating this algebra;
- (3)  $\mathcal{B}_d(\mathcal{T})$  is a Boolean algebra consisting of  $2^n$  elements with  $n$  atoms generating this algebra.

Proof. (1)  $\Rightarrow$  (2) and (1)  $\Rightarrow$  (3). It suffices to note that having  $\text{RS}(\mathcal{T}) = 0$  and  $\text{ds}(\mathcal{T}) = n$ ,  $\mathcal{T}$  consists of some theories  $T_i$ ,  $i = 1, \dots, n$ , whose isolating sentences generate both  $\mathcal{B}_s(\mathcal{T})$  and  $\mathcal{B}_d(\mathcal{T})$  with atomic elements  $\{T_i\}$ .

(3)  $\Rightarrow$  (2) is obvious since in such a case each  $d$ -definable set is  $s$ -definable.

(2)  $\Rightarrow$  (1). Since  $\mathcal{B}_s(\mathcal{T})$  is finite with  $n$  atomic elements and each atomic element is a singleton, we have  $|\mathcal{T}| = n$  producing  $\text{RS}(\mathcal{T}) = 0$  and  $\text{ds}(\mathcal{T}) = n$ .  $\square$

**Theorem 2.3.** *For any nonempty  $E$ -closed family  $\mathcal{T}$  and  $n \in \omega \setminus \{0\}$  the following conditions are equivalent:*

- (1)  $\text{RS}(\mathcal{T}) = 1$  and  $\text{ds}(\mathcal{T}) = n$ ;
- (2) the Boolean algebra  $\mathcal{B}_s(\mathcal{T})$  is isomorphic to a direct product of  $n$  infinite Boolean algebras  $\mathcal{B}_1, \dots, \mathcal{B}_n$  each of which is generated by atomic elements;
- (3) the algebra  $\mathcal{B}_d(\mathcal{T})$  contains  $n$  new atomic elements with respect to  $\mathcal{B}_s(\mathcal{T})$ .

Proof. (1)  $\Rightarrow$  (2). By the assumption  $\mathcal{T}$  is divided into  $n$  disjoint  $e$ -minimal  $s$ -definable subfamilies  $\mathcal{T}_{\varphi_1}, \dots, \mathcal{T}_{\varphi_n}$ . By  $e$ -minimality the Boolean algebras  $\mathcal{B}_s(\mathcal{T}_{\varphi_i})$  are generated by atomic elements. Taking

$$\mathcal{B}_s = \mathcal{B}_s(\mathcal{T}_{\varphi_1}) \times \dots \times \mathcal{B}_s(\mathcal{T}_{\varphi_n})$$

we obtain the Boolean algebra representing all  $s$ -definable subfamilies of  $\mathcal{T}$  as boolean combinations of (co-)finite subfamilies of  $\mathcal{T}_{\varphi_i}$ . Since these boolean combinations also represent elements of  $\mathcal{B}_s(\mathcal{T})$ , we have a natural isomorphism between  $\mathcal{B}_s$  and  $\mathcal{B}_s(\mathcal{T})$ .

(1)  $\Rightarrow$  (3). Since  $\mathcal{T}$  is divided into  $n$  disjoint  $e$ -minimal  $s$ -definable subfamilies  $\mathcal{T}_{\varphi_1}, \dots, \mathcal{T}_{\varphi_n}$  there are  $n$  accumulation points for  $\mathcal{T}$  and each  $\mathcal{T}_{\varphi_i}$  has exactly one of these accumulation points  $T_i$ . Each  $T$  is a  $d$ -definable atomic element of  $\mathcal{B}_d(\mathcal{T})$  which does not belong to  $\mathcal{B}_s(\mathcal{T})$ . Since all singletons  $\{T\}$ , for  $T \in \mathcal{T} \setminus \{T_1, \dots, T_n\}$ , belong to  $\mathcal{B}_s(\mathcal{T})$ ,  $\mathcal{B}_d(\mathcal{T})$  contains  $n$  new atomic generating elements with respect to  $\mathcal{B}_s(\mathcal{T})$ .

(2)  $\Rightarrow$  (1). If  $\mathcal{B}_s(\mathcal{T})$  is isomorphic to a direct product of  $n$  infinite Boolean algebras  $\mathcal{B}_1, \dots, \mathcal{B}_n$  each of which is generated by atomic elements then it has  $n$  infinite parts, disjoint modulo  $\emptyset$ , being Boolean algebras such that units of these algebras can be divided only into finite and cofinite parts. It means that there are pairwise inconsistent sentences  $\varphi$  such that these parts correspond  $e$ -minimal  $s$ -definable families  $\mathcal{T}_{\varphi}$ . Moreover, since  $\mathcal{B}_s(\mathcal{T}) \simeq \mathcal{B}_1 \times \dots \times \mathcal{B}_n$ , each  $s$ -definable family in  $\mathcal{B}_s(\mathcal{T})$  is defined by some boolean combination of sentences  $\varphi$  and sentences  $\psi$  isolating atomic elements. It implies that  $\mathcal{T}$  is divided into  $n$  disjoint  $e$ -minimal  $s$ -definable subfamilies of  $\mathcal{T}$  producing  $\text{RS}(\mathcal{T}) = 1$  and  $\text{ds}(\mathcal{T}) = n$ .

(3)  $\Rightarrow$  (1). By Proposition 2.2,  $\mathcal{B}_d(\mathcal{T})$  is infinite. Since it contains  $n$  new atomic elements with respect to  $\mathcal{B}_s(\mathcal{T})$  there are  $n$  theories in  $\mathcal{T}$  which are not isolated by sentences. Since each infinite family has an accumulation point, it implies that  $\mathcal{T}$  is divided into  $n$  disjoint  $e$ -minimal  $s$ -definable parts producing  $\text{RS}(\mathcal{T}) = 1$  and  $\text{ds}(\mathcal{T}) = n$ .  $\square$

**Remark 2.4.** Each algebra  $\mathcal{B}_i$  in Theorem 2.3 corresponds a 1-minimal family and it is isomorphic to a union of upward directed family of finite algebras  $\mathcal{B}_s(\mathcal{T})$  in Proposition 2.2 such that the cardinality of this family equals  $|\mathcal{B}_i|$ . Algebras  $\mathcal{B}_s(\mathcal{T})$  correspond finite subfamilies  $\mathcal{T}$  of given family  $\mathcal{T}'$  of theories such that all theories in  $\mathcal{T}$  are  $\mathcal{T}'$ -isolated by some sentences. Here there are  $n$  theories in  $\mathcal{T}'$  and outside all  $\mathcal{T}$  being non-principal ultrafilters with respect to  $\mathcal{T}'$ .

**Remark 2.5.** Similarly Remark 2.4, the class of algebras  $\mathcal{B}_s(\mathcal{T})$  in Theorem 2.3 contains upward directed families whose unions produce algebras  $\mathcal{B}_s(\mathcal{T}_2)$  for  $\alpha$ -minimal, i.e., 2-minimal families  $\mathcal{T}_2$ , with  $\text{RS}(\mathcal{T}_2) = 2$  and  $\text{ds}(\mathcal{T}_2) = 1$ . Taking direct products of  $n$  algebras  $\mathcal{B}_s(\mathcal{T}_2)$  we obtain algebras  $\mathcal{B}_s(\mathcal{T}_{2,n})$  for disjoint unions  $\mathcal{T}_{2,n}$  of 2-minimal families  $\mathcal{T}_2$ , with  $\text{RS}(\mathcal{T}_{2,n}) = 2$  and  $\text{ds}(\mathcal{T}_{2,n}) = n$ ,  $n \in \omega \setminus \{0\}$ .

Now we can continue the process alternating step-by-step unions of upward directed families of ranks  $< \alpha$ , obtaining  $\alpha$ -ranked algebras  $\mathcal{B}_s(\mathcal{T}_\alpha)$  for  $\alpha$ -minimal families  $\mathcal{T}_\alpha$ , and direct products of  $n$  algebras  $\mathcal{B}_s(\mathcal{T}_\alpha)$ , for  $\alpha$ -minimal families  $\mathcal{T}_\alpha$  obtaining their disjoint union  $\mathcal{T}_{\alpha,n}$  with  $\text{RS}(\mathcal{T}_{\alpha,n}) = \alpha$  and  $\text{ds}(\mathcal{T}_{\alpha,n}) = n$ ,  $n \in \omega \setminus \{0\}$ .

Here, each step from  $\mathcal{T}_\beta$ ,  $\beta < \alpha$ , to  $\mathcal{T}_{\alpha,n}$  produces  $n$  new atomic elements for  $\mathcal{B}_d(\mathcal{T}_{\alpha,n})$  such that these new elements have the CB-rank  $\alpha$  and represent non-principal ultrafilters with respect to elements of ranks  $< \alpha$ .

Collecting the arguments above we generalize Theorem 2.3 for arbitrary  $e$ -totally transcendental  $E$ -closed family  $\mathcal{T}$ :

**Theorem 2.4.** *For any nonempty  $E$ -closed family  $\mathcal{T}$ , an ordinal  $\alpha \geq 1$ , and  $n \in \omega \setminus \{0\}$ , the following conditions are equivalent:*

- (1)  $\text{RS}(\mathcal{T}) = \alpha$  and  $\text{ds}(\mathcal{T}) = n$ ;
- (2) the Boolean algebra  $\mathcal{B}_s(\mathcal{T})$  is isomorphic to a direct product of  $n$  Boolean algebras  $\mathcal{B}_1, \dots, \mathcal{B}_n$  each of which is generated by elements of ranks  $< \alpha$  such that each  $\mathcal{B}_n$  contains infinitely many elements of each rank  $\beta < \alpha$ ;
- (3) the algebra  $\mathcal{B}_d(\mathcal{T})$  consists of infinitely many atomic elements of each rank  $\beta < \alpha$ , for  $\beta \geq 0$ , and exactly  $n$  atomic elements of rank  $\alpha$ , these  $n$  atomic elements correspond non-principal ultrafilters with respect to elements of ranks  $< \alpha$ .

In conclusion, we notice that atomic elements in the item (3) of Theorem 2.4, being theories of rank  $\alpha$ , can be considered as elements of the greatest complexity both in the family  $\mathcal{T}$  and in the algebra  $\mathcal{B}_d(\mathcal{T})$ .

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