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QUASIRECOGNIZABILITY OF SIMPLE UNITARY GROUPS  
OVER FIELDS OF EVEN ORDER

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ABSTRACT. We refer to the set of element orders of a finite group as the spectrum of this group and say that two groups are isospectral if their spectra coincide. We prove that finite simple unitary groups of dimension at least 5 over fields of characteristic 2 other than  $U_5(2)$  are quasirecognizable by spectrum, that is every finite group isospectral to such unitary group  $U$  has a unique nonabelian composition factor and this factor is isomorphic to  $U$ .

**Keywords:** unitary group, element orders, spectrum.

## 1. INTRODUCTION

For a finite group  $G$ , the set of element orders of  $G$  is called the *spectrum* of  $G$  and denoted by  $\omega(G)$ . We say that  $G$  is *recognizable by spectrum* if every finite group  $H$  with  $\omega(H) = \omega(G)$  is isomorphic to  $G$ . The problem of recognition by spectrum is of primary interest for almost simple groups, in particular, for nonabelian simple groups (see [1, 2]). A nonabelian simple group  $L$  is said to be *quasirecognizable by spectrum* if every finite group  $H$  with  $\omega(H) = \omega(L)$  has a unique nonabelian composition factor and this factor is isomorphic to  $L$ .

The present paper deals with quasireconizability of finite simple unitary groups over fields of characteristic 2. The simple unitary groups  $U_3(2^\alpha)$ ,  $\alpha \geq 2$ , and  $U_4(2^\alpha)$ ,

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$\alpha \geq 2$ , are recognizable [3, 4]. The group  $U_3(2)$  is soluble and the groups  $U_4(2), U_5(2)$  are not quasirecognizable [5]. Also  $U_6(2)$  is known to be recognizable [6]. Recently in [7], the problem of quasirecognizability of a simple linear or unitary group  $L$  was reduced to a special case when a group  $H$  with  $\omega(H) = \omega(L)$  has a nonabelian composition factor isomorphic to a group of Lie type in cross characteristic, that is in characteristic different from the defining characteristic of  $L$ . The goal of the present paper is to handle this special case for unitary groups over fields of characteristic 2 and thus prove the following

**Theorem.** *If  $L = U_m(2^\alpha)$ , where  $m \geq 5$ , and  $L \neq U_5(2)$  then  $L$  is quasirecognizable by spectrum.*

Together with the results mentioned above, this theorem implies

**Corollary.** *If  $L$  is a simple unitary group over a field of characteristic 2 other than  $U_4(2)$  and  $U_5(2)$  then  $L$  is quasirecognizable by spectrum.*

## 2. PRELIMINARIES

Our notation of simple classical groups and exceptional groups of Lie type follows [8]. For brevity, we also use abbreviations  $L_n^\varepsilon(q)$  and  $E_6^\varepsilon(q)$ , where  $\varepsilon = \pm$  and  $L_n^+(q)$ ,  $L_n^-(q)$ ,  $E_6^+(q)$ , and  $E_6^-(q)$  stand for  $L_n(q)$ ,  $U_n(q)$ ,  $E_6(q)$ , and  ${}^2E_6(q)$ , respectively. In such situations, we identify  $\varepsilon$  and  $\varepsilon 1$ .

We write  $[x]$  for the integer part of a number  $x$ . The set of prime divisors of a natural number  $m$  is denoted by  $\pi(m)$ . For a finite group  $G$ , by definition  $\pi(G) = \pi(|G|)$ . We denote by  $[m_1, m_2, \dots, m_s]$  and  $(m_1, m_2, \dots, m_s)$  the least common multiple and greatest common divisor of numbers  $m_1, m_2, \dots, m_s$ , respectively. For a prime  $r$ , the  $r$ -part of a natural number  $m$  is the largest  $r$ -power dividing  $m$ . We write  $m_r$  for the  $r$ -part of  $m$  and  $m_{r'}$  for the quotient  $m/m_r$ .

Let  $G$  be a finite group and  $\omega(G)$  its spectrum. The divisibility relation endows  $\omega(G)$  with a partial order; and the subset of elements maximal under this order is denoted by  $\mu(G)$ . For a prime  $r$ , we refer to the largest power of  $r$  in  $\omega(G)$  as the  $r$ -period of  $G$ .

The *Gruenberg–Kegel graph* (or *prime graph*) of  $G$  is a graph  $GK(G)$  whose vertex set is  $\pi(G)$  and two different vertices  $p$  and  $r$  are connected by an edge if and only if  $pr \in \omega(G)$ . The maximal cardinality of independent sets of vertices (or the independence number) of  $GK(G)$  is denoted by  $t(G)$ ; the maximal cardinality of independent sets containing the vertex 2 is denoted by  $t(2, G)$ . The neighborhood of a vertex is the set consisting of the vertex itself and adjacent vertices.

**Lemma 1** ([9, 10]). *Let  $G$  be a finite group satisfying conditions  $t(G) \geq 3$  and  $t(2, G) \geq 2$ . Then the following assertions hold:*

(a) *There exists a nonabelian simple group  $S$  such that  $S \leq \overline{G} = G/K \leq \text{Aut } S$ , where  $K$  is a maximal normal soluble subgroup of  $G$ .*

(b) *For every independent set  $\rho$  consisting of at least 3 vertices in  $GK(G)$ , at most one prime of  $\rho$  divides  $|K| \cdot |\overline{G}/S|$ . In particular,  $t(S) \geq t(G) - 1$ .*

(c) *Every prime  $r \in \pi(G)$  nonadjacent to 2 in  $GK(G)$  does not divide  $|K| \cdot |\overline{G}/S|$ ; in particular,  $t(2, S) \geq t(2, G)$ .*

**Lemma 2** ([11, Lemma 3]). *Let  $G$  be a finite group,  $K$  a normal soluble subgroup of  $G$ , and  $S \leq \overline{G} = G/K \leq \text{Aut } S$  for a simple group  $S$ . Suppose there are  $t$  and  $s$  in  $\pi(S) \setminus \pi(K)$  whose neighborhoods in  $GK(G)$  disjoint. If  $r \in \pi(K)$  is adjacent*

neither to  $t$  nor to  $s$  in  $GK(G)$ , while  $S$  includes a Frobenius subgroup with cyclic complement  $C$  and kernel  $F$  such that  $(|F|, r) = 1$ , then  $r|C| \in \omega(G)$ .

**Lemma 3.** *Let  $q$  be odd and let  $S$  be isomorphic to one of the groups  $L_4^\varepsilon(q)$  with  $q - \varepsilon \equiv 4 \pmod{8}$ ,  $D_n^\varepsilon(q)$  with odd  $n$  and  $q - \varepsilon \equiv 4 \pmod{8}$ ,  $E_6^\varepsilon(q)$ ,  $G_2(q)$ . Then  $S$  has the following properties:*

- (a) *If  $r$  is an odd prime and  $2r \in \omega(S)$  then  $4r \in \omega(S)$ .*
- (b) *If  $S \leq G \leq \text{Aut } S$ ,  $r$  divides  $|G/S|$  and  $r > 3$  then  $4r \in \omega(G)$ .*
- (c) *There is a Frobenius subgroup with cyclic complement of order 4 in  $S$ .*

*Proof.* For  $S \neq G_2(q)$ , the assertion is proved in [12, Lemma 4]. Let  $S = G_2(q)$ . By [13, Lemma 2.1], there is a Frobenius subgroup with kernel of order  $q^2$  and cyclic complement of order  $q^2 - 1$  in  $S$ , proving (c). By [14, 2.3], if  $r$  is an odd prime and  $2r \in \omega(S)$  then  $r$  divides  $q^2 - 1$ . This proves (a). Suppose that  $S \leq G \leq \text{Aut } S$  and  $|G/S|$  is divisible by an odd prime  $r$  greater than 3. Then  $G$  contains a field automorphism  $\varphi$  of  $S$  of order  $r$ . Since  $C_S(\varphi) \simeq G_2(q^{1/r})$  and  $4 \in \omega(G_2(q^{1/r}))$ , assertion (b) follows.  $\square$

For a integer  $m$  and an odd prime  $r$  such that  $(m, r) = 1$ , we write  $e(r, m)$  to denote the multiplicative order of  $m$  modulo  $r$ . Given an odd  $m$ , we put  $e(2, m) = 1$  if  $m \equiv 1 \pmod{4}$  and put  $e(2, m) = 2$  if  $m \equiv 3 \pmod{4}$ .

Let  $m$  be an integer and  $|m| > 1$ . A prime  $r$  is said to be a *primitive prime divisor* of  $m^i - 1$  if  $e(r, m) = i$ . The existence of primitive divisors for almost all pairs of  $m$  and  $i$  was established by Zsigmondy.

**Lemma 4** ([15]). *Let  $m$  be an integer and  $|m| > 1$ . For every natural number  $i > 2$ , there is a prime  $r$  with  $e(r, m) = i$ , except when  $(m, i) \in \{(2, 6), (-2, 3)\}$ .*

In what follows,  $r_i(m)$  stands for a primitive prime divisor of  $m^i - 1$  if such exist. The product of all primitive divisors of  $m^i - 1$  taken with multiplicities is said to be the *greatest primitive divisor* and denoted by  $k_i(m)$ . Note that for a divisor, the property of being primitive depends on the pair  $(m, i)$  and is not determined by the number  $m^i - 1$ . For example,  $k_6(2) = 1$  while  $k_3(4) = 7$  and  $k_6(-2) = 7$ . By [16], we have that for  $i > 2$

$$(*) \quad k_i(n) = \frac{|\Phi_i(n)|}{(r, \Phi_{i_{r'}}(n))},$$

where  $\Phi_i(x)$  is the  $i$ th cyclotomic polynomial and  $r$  is the largest prime number dividing  $i$ , and if  $i_{r'}$  does not divide  $r - 1$ , then  $(r, \Phi_{i_{r'}}(n)) = 1$ .

**Lemma 5.** *Let  $q$  be an integer and  $q > 1$ . The following assertions hold:*

- (a) *If  $i$  is an odd prime then*

$$k_i(q) \geq \frac{q^{i-1}}{(i, q-1)} \text{ and } k_i(-q) \geq \frac{q^{i-1}}{2(i, q+1)}.$$

- (b) *If  $\varepsilon = \pm 1$  then  $[q^{n_1} - \varepsilon^{n_1}, \dots, q^{n_s} - \varepsilon^{n_s}] \leq q^{(n_1 + \dots + n_s)} + 1$ .*

*Proof.* By (\*), we have

$$k_i(q) = \frac{q^i - 1}{(q-1)(i, q-1)} \text{ and } k_i(-q) = \frac{q^i + 1}{(q+1)(i, q+1)}.$$

Since  $(q^i - 1)/(q-1) = q^{i-1} + \dots + 1 \geq q^{i-1}$  and  $(q^i + 1)/(q+1) \geq q^i/(q+1) \geq q^{i-1}/2$ , assertion (a) follows.

In (b) we may assume that  $s > 1$  and  $\varepsilon = -1$ . Then

$$[q^{n_1} - (-1)^{n_1}, \dots, q^{n_s} - (-1)^{n_s}] \leq (q + 1) \frac{q^{n_1} - (-1)^{n_1}}{q + 1} \dots \frac{q^{n_s} - (-1)^{n_s}}{q + 1} \leq (q + 1)q^{n_1-1} \dots q^{n_s-1} = (q + 1)q^{n_1+\dots+n_s-s} \leq (q + 1)q^{n_1+\dots+n_s-2} \leq q^{n_1+\dots+n_s}.$$

□

**Lemma 6** ([17]). *Let  $L = L_n^\varepsilon(q)$ , where  $n \geq 3$ ,  $q = p^\alpha$ , and  $\varepsilon = \pm$ , and let  $d = (n, q - \varepsilon)$ . Then  $\omega(L)$  consists of all divisors of the following numbers:*

- (1)  $\frac{q^n - \varepsilon^n}{d(q - \varepsilon)}$ ;
- (2)  $\frac{[q^{n_1} - \varepsilon^{n_1}, q^{n_2} - \varepsilon^{n_2}]}{(n/(n_1, n_2), q - \varepsilon)}$ , where  $n_1, n_2 > 0$  and  $n_1 + n_2 = n$ ;
- (3)  $[q^{n_1} - \varepsilon^{n_1}, q^{n_2} - \varepsilon^{n_2}, \dots, q^{n_s} - \varepsilon^{n_s}]$ , where  $s \geq 3$ ,  $n_1, n_2, \dots, n_s > 0$  and  $n_1 + \dots + n_s = n$ ;
- (4)  $p^\gamma \frac{q^{n_1} - \varepsilon^{n_1}}{d}$ , where  $\gamma, n_1 > 0$ ,  $p^{\gamma-1} + 1 + n_1 = n$ ;
- (5)  $p^\gamma [q^{n_1} - \varepsilon^{n_1}, \dots, q^{n_s} - \varepsilon^{n_s}]$ , where  $s \geq 2$ ,  $\gamma, n_1, \dots, n_s > 0$  and  $p^{\gamma-1} + 1 + n_1 + \dots + n_s = n$ ;
- (6)  $p^\gamma$  if  $p^{\gamma-1} + 1 = n$  for  $\gamma > 0$ .

**Lemma 7.** *Let  $L = L_n^\varepsilon(q)$ , where  $n \geq 3$ ,  $q = p^\alpha$ , and  $\varepsilon = \pm$ . If  $a \in \omega(L)$  and  $a_p \geq p^\delta > 2$  then  $n \geq p^{\delta-1} + 1$  and  $a \leq p^\delta (q^{n-p^{\delta-1}-1} + 1)$ . If, in addition,  $a_{p'} > 1$  then  $n \geq p^{\delta-1} + 2$ .*

*Proof.* By Lemma 6, there are  $\gamma \geq \delta$ ,  $s \geq 1$  and  $n_1, \dots, n_s$  such that  $p^{\gamma-1} + 1 + n_1 + \dots + n_s = n$  and  $a$  divides  $p^\gamma [q^{n_1} - \varepsilon^{n_1}, \dots, q^{n_s} - \varepsilon^{n_s}]$ . Thus  $n \geq p^{\delta-1} + 1$ . If  $a_{p'} > 1$  then  $n > p^{\delta-1} + 1$ . By Lemma 5, we have

$$a \leq p^\gamma [q^{n_1} - \varepsilon^{n_1}, \dots, q^{n_s} - \varepsilon^{n_s}] \leq p^\gamma (q^{n_1+\dots+n_s} + 1) = p^\gamma (q^{n-p^{\gamma-1}-1} + 1).$$

If  $\gamma = \delta$  then the lemma is proved. Suppose  $\gamma - \delta \geq 1$ . Then

$$\frac{p^\delta (q^{n-p^{\delta-1}-1} + 1)}{p^\gamma (q^{n-p^{\gamma-1}-1} + 1)} \geq \frac{q^{n-p^{\delta-1}-1}}{p^{\gamma-\delta} q^{n-p^{\gamma-1}}} \geq \frac{q^{n-p^{\delta-1}-1}}{q^{n-p^{\gamma-1}+\gamma-\delta}} = q^{p^{\gamma-1}-p^{\delta-1}-\gamma+\delta-1} \geq 1,$$

where the last inequality holds since

$$p^{\gamma-1} - p^{\delta-1} = p^{\delta-1}(p^{\gamma-\delta} - 1) \geq 2(\gamma - \delta) \geq \gamma - \delta + 1.$$

□

**Lemma 8.** *Let  $q$  be an integer,  $q > 1$ , and  $\varepsilon = \pm 1$ . If 4 divides  $q - \varepsilon$  then  $(q^m - \varepsilon^m)_2 = m_2(q - \varepsilon)_2$ .*

*Proof.* If  $m$  is odd then  $(q^m - \varepsilon^m)/(q - \varepsilon)$  is odd. Thus we may assume that  $m = 2^l$  with  $l \geq 1$ . Then

$$(q^m - \varepsilon^m)_2 = (q^m - 1)_2 = (q - \varepsilon)_2 \prod_{i=0}^{l-1} (q^{2^i} + \varepsilon^{2^i})_2 = 2^l (q - \varepsilon)_2 = m_2 (q - \varepsilon)_2.$$

□

3. AUXILIARY RESULTS

We extract a part of the proof of the theorem as a separate section, since it can be applied not only to unitary groups.

**Hypothesis A.**  $L$  is a nonabelian simple group and there are odd primes  $t, s$  and  $r$  in  $\pi(L)$  such that

- (a)  $\{t, s, r\}$  and  $\{t, s, 2\}$  are cocliques in  $GK(L)$ ;
- (b) the neighborhoods of  $t$  and  $s$  in  $GK(L)$  disjoint;
- (c)  $r > 3$  and  $4r \notin \omega(L)$ .

Throughout this section we assume that  $L$  satisfies Hypothesis A and adopt notation of this hypothesis. Let  $G$  be a finite group such that  $\omega(G) = \omega(L)$  and  $K$  be the soluble radical of  $G$ . By Lemma 1, we have  $S \leq \overline{G} = G/K \leq \text{Aut } S$  with  $S$  being a nonabelian simple group. Moreover,  $t(2, S) \geq 3$ , the primes  $t, s$  divide  $|S|$  and does not divide  $|K| \cdot |\overline{G}/S|$ .

**Lemma 9.** *If  $p, q \in \pi(K)$ ,  $p^x, q^y \in \omega(K)$ , and  $pt, qt \notin \omega(L)$  then  $p^x q^y \in \omega(G)$ .*

*Proof.* Let  $H$  be a Hall  $\{p, q\}$ -subgroup of  $K$  and  $N = N_G(H)$ . By the Frattini argument,  $G = NK$  and hence  $N/(N \cap K) \simeq G/K$ . An element of order  $t$  in  $N$  acts on  $H$  fix-point-freely. By Thompson's theorem, we have that  $H$  is nilpotent. Thus  $p^x q^y \in \omega(H)$ . □

**Proposition 1.** *If  $8 \in \omega(L)$  then  $S \not\cong L_2(q)$ , where  $q$  is odd.*

*Proof.* Suppose that  $S \simeq L_2(q)$  and  $q = p^k$ , where  $p$  is an odd prime. Then  $\mu(S) = \{p, (q + 1)/2, (q - 1)/2\}$  and  $\mu(\text{Inndiag } S) = \{p, q + 1, q - 1\}$ . In particular,  $t(2, \text{Inndiag } S) = 1$  and thus  $\overline{G}$  does not include  $\text{Inndiag } S$ .

Choose  $\varepsilon = \pm 1$  such that  $q \equiv \varepsilon \pmod{4}$ . The group  $S$  contains elements of order 4 if and only if  $q - \varepsilon$  is a multiple of 8. If 8 does not divide  $q - \varepsilon$  then  $k$  is odd and therefore  $2 \notin \pi(\overline{G}/S)$ . Thus the conditions  $q \not\equiv \varepsilon \pmod{8}$  and  $4 \notin \omega(\overline{G})$  are equivalent.

Since  $t$  and  $s$  divide  $|S|$  and  $2t, 2s \notin \omega(S)$ , it follows that one of them is equal to  $p$ , while the other divides  $(q + \varepsilon)/2$ . We may assume that  $t = p$  and  $s$  divides  $q + \varepsilon$ .

Let  $r$  divides  $|\overline{G}/S|$ . Since  $r$  is odd, it follows that  $\overline{G}$  contains a field automorphism  $\varphi$  of  $S$  of order  $r$ . The centralizer  $C_S(\varphi)$  is isomorphic to  $L_2(q^{1/r})$  and hence contains an element of order  $p = t$ . Thus  $tr \in \omega(G)$ .

Let  $r \in \pi(S)$ . Then  $r$  divides  $(q - \varepsilon)/2$  and 8 does not divide  $q - \varepsilon$ . Therefore  $4 \notin \omega(\overline{G})$ . On the other hand,  $8 \in \omega(G)$  and so  $2 \in \pi(K)$ . A Borel subgroup  $B$  of  $S$  is a Frobenius group with kernel of order  $q$  and cyclic complement of order  $(q - 1)/2$ . Applying Lemma 2, we infer that  $q - 1 \in \omega(G)$ . This yields  $4r \in \omega(G)$  for  $\varepsilon = 1$  and  $2s \in \omega(G)$  for  $\varepsilon = -1$ .

Finally, let  $r \in \pi(K)$ . Applying Lemma 2 with  $B$  as a Frobenius group again, we deduce that  $r(q - 1)/2 \in \omega(G)$ . If  $\varepsilon = -1$  then  $sr \in \omega(G)$ . If 8 divides  $q - 1$  then  $4r \in \omega(G)$ . If  $\varepsilon = 1$  and  $q - 1$  is not a multiple of 8 then  $4 \notin \omega(\overline{G})$ . Hence  $4 \in \omega(K)$  and  $4r \in \omega(G)$  by Lemma 9.

Thus in any case at least one of the numbers  $tr, sr, 2s$ , and  $4r$  lies in  $\omega(G)$ . This contradiction proves the proposition. □

**Proposition 2.** *Let  $q$  be odd. Then  $S$  is isomorphic to none of  $L_4^\varepsilon(q), O_{2n}^\varepsilon(q), E_6^\varepsilon(q), G_2(q)$ . Furthermore, if  $q > 3$  then  $S$  is not isomorphic to  $E_7(q)$ .*

*Proof.* Suppose that  $S$  is isomorphic to one of the groups  $L_4^\varepsilon(q)$ ,  $O_{2n}^\varepsilon(q)$ ,  $G_2(q)$ , and  $E_6^\varepsilon(q)$ . Since  $t(2, S) \geq 3$ , it follows from [19, Tables 4 and 6] that  $n$  is odd and  $q - \varepsilon 1 \equiv 4 \pmod{8}$  when  $S \simeq O_{2n}^\varepsilon(q)$ ; and  $q - \varepsilon 1 \equiv 4 \pmod{8}$  when  $S \simeq L_4^\varepsilon(q)$ . We assume these conditions. Then  $t(2, S) = 3$ .

Let  $r \in \pi(S)$ . The equality  $t(2, S) = 3$  forces that  $2r \in \omega(S)$ . Then by Lemma 3(a), there is an element of order  $4r$  in  $S$ .

Let  $r \in \pi(\overline{G}/S)$ . Then  $4r \in \omega(G)$  by Lemma 3(b).

Finally, let  $r \in \pi(K)$ . By Lemma 3(c), there is a Frobenius subgroup with cyclic complement of order 4 in  $S$ . By the above part of the proof, we may assume that  $r \notin \pi(S)$  and so the order of the Frobenius kernel of this subgroup is coprime to  $r$ . Then Lemma 2 implies that  $4r \in \omega(G)$ .

Thus in any case  $4r \in \omega(G)$ , and this contradiction proves the first part of the proposition.

Suppose that  $S \simeq E_7(q)$ . The vertices  $t$  and  $s$  of  $GK(S)$  must have disjoint neighborhoods in  $GK(S)$ , as they does in  $GK(G)$ . But for  $q > 3$ , the intersection of neighborhoods of any two vertices of  $GK(S)$  nonadjacent to 2 contains some odd prime divisor of  $q^2 - 1$  (see the compact form of  $GK(S)$  in [18]).  $\square$

#### 4. PROOF OF THE THEOREM

Let  $L = U_m(u)$ , where  $u = 2^\alpha$  and  $m \geq 5$ . Since  $U_5(2)$  is not quasirecognizable [5] and  $U_6(2)$  is recognizable [6], we may assume that  $(n, \alpha) \neq (5, 1), (6, 1)$ . Then  $t(L) = [(m+1)/2]$  by [18, Table 2]. Furthermore, by Lemma 4 there exist primitive prime divisors  $r_m = r_m(-u)$ ,  $r_{m-1} = r_{m-1}(-u)$ , and  $r_{m-2} = r_{m-2}(-u)$ . Observe that all these numbers are greater than 3, as 3 divides  $u^2 - 1$ . It follows by [18, Table 2] and Lemma 6 that  $L$  satisfies Hypothesis A with  $t = r_m$ ,  $s = r_{m-1}$ , and  $r = r_{m-2}$ .

Let  $G$  be a finite group such that  $\omega(G) = \omega(L)$ ,  $K$  the soluble radical of  $G$ , and  $S$  a nonabelian simple group such that  $S \leq \overline{G} = G/K \leq \text{Aut } S$ . By [7, Theorems 1–3], either  $S \simeq L$ , as required, or  $S$  is isomorphic to a group of Lie type over a field of odd characteristic.

Suppose that  $S$  is a group of Lie type over a field of odd characteristic. By Lemma 6, we have  $8 \in \omega(L)$  and so  $S$  is not isomorphic to  $L_2(q)$  by Proposition 1. Also  $S$  cannot be isomorphic to any of  $L_4^\varepsilon(q)$ ,  $O_{2n}^\varepsilon(q)$ ,  $E_6^\varepsilon(q)$ ,  $G_2(q)$ , and  $E_7(q)$ , excepting  $E_7(3)$ , by Proposition 2. Finally,  $S$  is not isomorphic to any of  $O_{2n+1}(q)$ ,  $PSp_{2n}(q)$ ,  $F_4(q)$ , and  ${}^3D_4(q)$  since otherwise  $t(2, S) < 3$  by [19, Tables 5 and 6]. Thus the only possibilities for  $S$  are  $L_n^\varepsilon(q)$  with  $n \neq 2, 4$ ,  $E_8(q)$ ,  ${}^2G_2(q)$  and  $E_7(3)$ .

By Lemma 1, we have  $t(2, S) \geq 3$  and  $t(S) \geq t(L) - 1$ . Moreover, all prime divisors of  $k_m(-u)$  and all prime divisor of  $k_{m-1}(-u)$  do not divide  $|K| \cdot |\overline{G}/S|$ . Hence  $k_m = k_m(-u)$  and  $k_{m-1} = k_{m-1}(-u)$  lie in  $\omega(S)$ , and their prime divisors are not adjacent to 2 in  $GK(S)$ .

1. Suppose that  $S \simeq L_n^\varepsilon(q)$  with  $q$  odd and  $n \neq 2, 4$ . Since  $t(2, S) \geq 3$ , it follows from [19, Table 5] that  $n_2 = (q - \varepsilon)_2 > 2$ . In particular,  $n \geq 8$  and  $n$  is a multiple of 4. Using the inequality  $t(S) \geq t(L) - 1$  together with [19, Table 8], we infer that

$$\frac{n}{2} = \left\lfloor \frac{n+1}{2} \right\rfloor = t(S) \geq \left\lfloor \frac{m+1}{2} \right\rfloor - 1.$$

We claim that the 2-period of  $L$  is smaller than that of  $S$ . By Lemma 6, the 2-period of  $L$  is  $2^\gamma$ , where  $\gamma$  satisfies  $2^{\gamma-1} < m \leq 2^\gamma$ . Observe that  $\gamma \geq 3$ .

Now

$$n \geq 2 \left\lfloor \frac{m+1}{2} \right\rfloor - 2 > 2 \left\lfloor \frac{2^{\gamma-1} + 1}{2} \right\rfloor - 2 = 2^{\gamma-1} - 2,$$

and since 4 divides  $n$ , it follows that  $n \geq 2^{\gamma-1}$ . By Lemma 6, for every  $l \leq n - 2$  there is an element of order  $q^l - 1$  in  $S$ . If  $n > 2^{\gamma-1}$  then  $2^{\gamma-1} \leq n - 4$  and so  $(q^{2^{\gamma-1}} - 1)_2 \in \omega(S)$ . Using Lemma 8, we see that  $(q^{2^{\gamma-1}} - 1)_2 = 2^{\gamma-1}(q - \varepsilon)_2 > 2^{\gamma}$ . If  $n = 2^{\gamma-1}$  then  $\gamma \geq 4$  and  $(q^{2^{\gamma-2}} - 1)_2 \in \omega(S)$ . Now

$$(q^{2^{\gamma-2}} - 1)_2 = 2^{\gamma-2}(q - \varepsilon)_2 = 2^{\gamma-2}n_2 = 2^{2\gamma-3} > 2^{\gamma},$$

where the last inequality holds since  $\gamma > 3$ . Thus  $2^{\gamma} \in \omega(S) \setminus \omega(L)$ ; a contradiction.

**2.** Suppose that  $S \simeq E_8(q)$ , where  $q = p^\beta$  and  $p$  is odd. Then  $t(S) = 12$  by [18, Table 4]. By

$$12 = t(S) \geq t(L) - 1 = \left\lfloor \frac{m+1}{2} \right\rfloor - 1,$$

we infer that  $m \leq 26$ . Furthermore,  $q^8 - 1 \in \omega(S)$  by [20]. Hence  $q^8 - 1 \in \omega(L)$ . Since  $(q^8 - 1)_2 \geq 32$  and  $(q^8 - 1)_{2'} > 1$ , Lemma 7 implies that  $m \geq 18$  and  $q^8 - 1 \leq 32(u^{m-17} + 1)$ . On the other hand,  $k_m$  and  $k_{m-1}$  lie in  $\omega(G)$  and their prime divisors are not adjacent to 2 in  $GK(S)$ . Since  $2p \in \omega(S)$ , it follows that each of  $k_m$  and  $k_{m-1}$  divides the period of some maximal torus of  $S$ . By [14, 2.10], we infer that the possible periods are  $q^8 - q^4 + 1$ ,  $q^8 - q^6 + q^4 - q^2 + 1$ ,  $q^8 + q^7 - q^5 - q^4 - q^3 + q + 1$ , and  $q^8 - q^7 + q^5 - q^4 + q^3 - q + 1$ . Thus  $k_m, k_{m-1} \leq 2(q^8 - 1)$ . Combining the derived inequalities yields  $18 \leq m \leq 26$  and  $k_m, k_{m-1} \leq 64(u^{m-17} + 1)$ .

Let one of the numbers  $m, m - 1$  be equal to a prime  $i$ . Exploiting Lemma 5, we calculate

$$64u^{m-16} \geq 64(u^{m-17} + 1) \geq k_i = k_i(-u) \geq \frac{u^{i-1}}{2(i, u + 1)} \geq u^{i-3} \geq u^{m-4},$$

whence  $64 \geq u^{12} \geq 2^{12}$ ; a contradiction. Thus  $m$  is one of 21, 22, 25, and 26.

Let  $m = 25$ . Then

$$64u^9 \geq 64(u^8 + 1) \geq k_m = k_{25}(-u) = \frac{u^{25} + 1}{(u^5 + 1)(u + 1, 5)} = k_5(-u^5) \geq \frac{u^{20}}{10},$$

whence  $640 \geq u^{11} \geq 2^{11}$ ; a contradiction.

Let  $m = 21$ . Then

$$64u^5 \geq 64(u^4 + 1) \geq k_{m-1} = k_{20}(-u) = \frac{u^{10} + 1}{(u^2 + 1)(u^2 + 1, 5)} = k_5(-u^2) \geq \frac{u^8}{10},$$

and hence  $u \leq 8$ . Now it is easy to check that the inequality  $64(u^4 + 1) \geq k_{20}(-u)$  implies  $u = 2$ . Then  $6560 = 3^8 - 1 \leq q^8 - 1 \leq 32(2^4 + 1) = 544$ ; a contradiction.

Finally, let  $m = 22$  or  $m = 26$ . Put  $m = 2i$ . Then

$$64u^{m-16} \geq 64(u^{m-17} + 1) \geq k_m(-u) = k_i(u) \geq \frac{u^{i-1}}{(i, u - 1)} \geq u^{i-2} = u^{(m-4)/2},$$

whence  $64 \geq u^{(28-m)/2} \geq u$ . Since  $u \leq 64$ , it follows that  $(i, u - 1) = 1$  and therefore  $64 \geq u^2$ . Thus  $u \leq 8$  and it is not hard to verify that the inequality  $64(u^{m-17} + 1) \geq k_i(u)$  implies  $u = 2$ . Then  $q^8 - 1 \leq 32(2^9 + 1)$  and hence  $q = 3$ . It is a routine to check that  $\omega(E_8(3)) \not\subseteq \omega(U_{26}(2))$  and  $\omega(E_8(3)) \not\subseteq \omega(U_{22}(2))$ .

**3.** Suppose that  $S \simeq E_7(3)$ . Then  $t(S) = 8$  by [18, Table 4] and hence  $m \leq 18$ . On the other hand,  $q^4 - 1 \in \omega(S)$  by [20] and since  $(q^4 - 1)_2 \geq 16$ ,  $(q^4 - 1)_{2'} > 1$ , it

follows that  $m \geq 10$ . The periods of odd-order maximal tori of  $E_7(3)$  are 757 and 1093. These numbers are prime, therefore,  $\{k_m, k_{m-1}\} = \{r_m, r_{m-1}\} = \{757, 1093\}$ . By definition, if  $r_i(-u) = a$  then  $e(a, -u) = i$  and by Fermat's theorem, it follows that  $i$  divides  $a-1$ . Thus  $m(m-1)$  divides  $[757-1, 1093-1] = 2^4 \cdot 3^4 \cdot 7^2 \cdot 13$ . Together with  $10 \leq m \leq 18$ , this implies that  $m = 13$  or  $m = 14$ . Then  $1093 \geq k_{13} \geq u^{12}/26$ , whence  $u = 2$  and  $1093 \geq k_{13}(-2) = 2731$ ; a contradiction.

4. It remains to show that  $S \not\cong {}^2G_2(q)$ . For  $L = L_m(2^\alpha)$ , this assertion was proved in [11, Proposition 4]. But the corresponding proof relies on the false fact that  $q + 1 \in \omega({}^2G_2(q))$  and thus is incorrect. To fill this gap, we will prove that  $S \not\cong {}^2G_2(q)$  for both  $L_m(2^\alpha)$  and  $U_m(2^\alpha)$ .

**Proposition 3.** *Let  $L = L_m^\varepsilon(u)$ , where  $u = 2^\alpha$ ,  $m \geq 5$ ,  $\varepsilon = \pm$ . Suppose that  $(u, \varepsilon) \neq (2, +)$  and  $(m, u, \varepsilon) \neq (5, 2, -)$ . If  $\omega(G) = \omega(L)$ ,  $K$  is a soluble radical of  $G$  and  $S \leq \bar{G} = G/K \leq \text{Aut } S$  then  $S \not\cong {}^2G_2(q)$ .*

*Proof.* The hypothesis implies that there exist primitive prime divisors  $r_m = r_m(\varepsilon u)$ ,  $r_{m-1} = r_{m-1}(\varepsilon u), \dots, r_{\lceil(m+1)/2\rceil} = r_{\lceil(m+1)/2\rceil}(\varepsilon u)$ . All these numbers are greater than 3 since 3 divides  $u^2 - 1$  and  $\lceil(m+1)/2\rceil \geq 3$ . Furthermore, by [18, Table 2], these numbers form a coclique in  $GK(L)$  and  $t(L) = \lceil(m+1)/2\rceil$ .

By Lemma 1, we have  $t(2, S) \geq 3$  and  $t(S) \geq t(L) - 1$ . Moreover, all prime divisors of  $k_m = k_m(\varepsilon u)$  and all prime divisor of  $k_{m-1} = k_{m-1}(\varepsilon u)$  do not divide  $|K| \cdot |\bar{G}/S|$ . Hence  $k_m$  and  $k_{m-1}$  lie in  $\omega(S)$ , and their prime divisors are not adjacent to 2 in  $GK(S)$ .

Suppose that  $S \cong {}^2G_2(q)$ , where  $q = 3^\beta > 3$  and  $\beta$  is odd. By [18, Table 4], we have  $t(S) = 5$  and every coclique of  $GK(S)$  of size 5 contains the vertex 3. If  $m \geq 11$  then there exists a coclique of size 6 in  $GK(L)$  consisting of primes larger than 3. By Lemma 1, at least five vertices of this coclique lie in  $GK(S)$ , which is impossible. Thus  $5 \leq m \leq 10$ .

By [21], the periods of maximal tori of  $S$  are  $q - 1$ ,  $(q + 1)/2$ ,  $q - \sqrt{3q} + 1$ , and  $q + \sqrt{3q} + 1$ . In particular, the 2-period of  $S$  is equal to 2. Since  $|\text{Out } S| = \beta$ , it follows that the 2-period of  $K$  is twice less than that of  $L$ . The numbers  $k_m$  and  $k_{m-1}$  are not divisible by 3, therefore, they divides  $q \pm \sqrt{3q} + 1$ .

Let  $5 \leq m \leq 8$ . Then one of  $m, m - 1$  is a prime. Denote this prime by  $i$ . We claim that  $q \leq 4(u^{i-2} + 1)$ .

Let  $m = 6$  or  $m = 8$ . Since  $q - 1, (q + 1)/2$  lie in  $\omega(L)$  and  $(q - 1)_2 = (q + 1)_2/2 = 2$ , Lemma 6 implies that each of this numbers divides  $2^\gamma[u^{m_1} - \varepsilon^{m_1}, \dots, u^{m_s} - \varepsilon^{m_s}]$  for some  $\gamma \geq 1$  and  $m_1, \dots, m_s$  such that  $2^{\gamma-1} + 1 + m_1 + \dots + m_s = m$ . Choose the largest  $\gamma$  with such property. If  $\gamma > 1$  then applying Lemma 5, we infer that  $q + 1 \leq 4(u^{m-3} + 1)$ , as required. Suppose that  $\gamma = 1$  and some of  $q - 1$  and  $(q + 1)/2$  divides  $2[u^{m_1} - \varepsilon^{m_1}, \dots, u^{m_s} - \varepsilon^{m_s}]$  with  $s > 1$  and  $m_1 + \dots + m_s = m - 2$ . Since  $m - 2 = 4$  or  $m - 2 = 6$ , we may assume that  $m_s$  divides  $m_{s-1}$ . Then  $2[u^{m_1} - \varepsilon^{m_1}, \dots, u^{m_s} - \varepsilon^{m_s}]$  divides  $4[u^{m_1} - \varepsilon^{m_1}, \dots, u^{m_{s-1}} - \varepsilon^{m_{s-1}}] \in \omega(L)$ , contrary to maximality of  $\gamma$ . This contradiction shows that both  $q - 1$  and  $(q + 1)/2$  divide  $2(u^{m-2} + \varepsilon^{m-2})$ . Then  $(q^2 - 1)/4 \leq 2(u^{m-2} + 1)$  and so  $q^2 \leq 8(u^{m-2} + 1) + 1 \leq 16(u^{m-3} + 1)^2$ , as required. If  $m = 5$  or  $m = 7$  then applying Lemma 7 to  $(q + 1)/2$  yields  $(q + 1)/2 \leq 2(u^{m-2} + 1)$  and again  $q \leq 4(u^{i-2} + 1)$ .

Now

$$8(u^{i-2} + 1) \geq 2q \geq q + \sqrt{3q} + 1 \geq k_i = k_i(\varepsilon u) \geq \frac{u^{i-1}}{2(i, u - \varepsilon)} \geq \frac{u^{i-1}}{14}.$$



Thus  $u \leq 64$ . A direct calculation shows that  $8(u^{i-2} + 1) < k_i(\varepsilon u)$  for  $u = 64$  and hence  $u \leq 16$ . Then  $q \leq 4(16^5 + 1) < 3^{15}$ .

It is a routine to check that  $k_i(\varepsilon u)$  divides  $(q + \sqrt{3q} + 1)(q - \sqrt{3q} + 1)$  with  $i = 5, 7$ ,  $u \leq 16$  and  $q \leq 3^{13}$  if and only if  $u = 2$  and either  $\varepsilon = +$ ,  $i = 5$ ,  $q = 3^5$  or  $\varepsilon = -$ ,  $i = 7$ ,  $q = 3^7$ . By hypothesis,  $(u, \varepsilon) \neq (2, +)$ , so  $S \simeq {}^2G_2(3^7)$ ,  $L = U_5(2)$  or  $L = U_6(2)$ , and  $2269 \in \omega(S) \setminus \omega(L)$ ; a contradiction.

Let  $9 \leq m \leq 10$ . Then  $16 \in \omega(L)$  and hence  $8 \in \omega(K)$ . The numbers  $r_m, r_{m-1}, r_{m-2}, r_{m-4}$ , and  $r_{m-5}$  form a coclique in  $GK(L)$ . Since every coclique of  $GK(S)$  of size 5 contains the vertex 3, it follows that one of the numbers  $r_{m-3}, r_{m-4}$ , and  $r_{m-5}$ , say  $r_l$ , does not divide  $|S|$ . Moreover, every prime divisor of  $k = k_l(\varepsilon u)$  does not divide  $|S|$ . If  $a \in \pi(k)$  and  $a \in \pi(K)$  then by Lemma 3, we have  $8a \in \omega(G)$ , contrary to Lemma 6. Thus  $k$  is coprime to  $|S| \cdot |K|$  and hence  $k \in \omega(\overline{G}/S)$ . Therefore  $k \geq \beta$  and  $q \geq 3^k$ .

Since  $k_8(\varepsilon u) = u^4 + 1$ ,  $k_7(\varepsilon u) \geq u^5$ ,  $k_6(\varepsilon) = (u^2 - \varepsilon u + 1)/(3, u - \varepsilon)$ , and  $k_5 \geq u^3/2$ , it follows that  $k \geq (u^2 - u + 1)/3$ .

Applying Lemma 7 to  $(q + 1)/2$  yields  $(q + 1)/2 \leq 2(u^{m-2} + 1)$ . Thus

$$4(u^8 + 1) \geq 4(u^{m-2} + 1) \geq q + 1 \geq 3^k \geq 3^{(u^2 - u + 1)/3},$$

whence  $u \leq 4$ . Since  $(u, \varepsilon) \neq (2, +)$ , it follows that  $k \geq 7$ . On the other hand,  $q \leq 4(u^8 + 1)$  and so  $q \leq 3^{11}$ . Thus  $u \in \{2, 4\}$  and  $q \in \{3^7, 3^9, 3^{11}\}$ . Now we can directly check that  $k_9(\varepsilon u)$  does not divide either  $q + \sqrt{3q} + 1$  or  $q - \sqrt{3q} + 1$ ; a contradiction.  $\square$

Thus  $S \simeq L$  and the theorem is proved.

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